Original

1 A bill to be entitled 2 An act relating to gaming; amending s. 285.710, F.S.; 3 authorizing and directing the Governor, in cooperation 4 with the Seminole Tribe of Florida, to execute a new 5 compact in the form provided; signifying the 6 Legislature's approval and ratification of such 7 compact that does not materially alter from the 8 approved form; providing terms and conditions for the 9 gaming compact; providing definitions; authorizing the 10 Tribe to operate covered games on its lands in accordance with the compact and at specified 11 12 facilities; prohibiting specified games; providing requirements for resolution of patron disputes 13 14 involving gaming, tort claims, and employee disputes; providing requirements for regulation and enforcement 15 of the compact; requiring the state to conduct random 16 17 inspections of tribal facilities; authorizing the 18 state to conduct an independent audit; requiring the 19 Tribe and commission to comply with specified licensing and hearing requirements; requiring the 20 21 Tribe to make specified revenue share payments to the state, with reductions authorized under certain 22 23 circumstances; requiring the Tribe to pay an annual 24 oversight assessment and annual donation to the 25 Florida Council on Compulsive Gaming; providing for

PCB TGC 17-01

Page 1 of 81

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Original

26 dispute resolution between the Tribe and the state; 27 providing an effective date and termination of the 28 compact; providing for execution of the compact; 29 amending s. 285.712, F.S.; requiring the Governor to 30 provide a copy of the executed compact to specified 31 parties and direct the Secretary of State to forward a 32 copy to the Secretary of the Interior; amending s. 33 550.054, F.S.; requiring the Division of Pari-Mutuel 34 Wagering to revoke a permit to conduct pari-mutuel 35 wagering for a permitholder that fails to make 36 specified payments or obtain an operating license; 37 prohibiting the issuance of new permits; deleting 38 provisions related to the conversion of permits; 39 repealing s. 550.0555, F.S., relating to relocation of a greyhound dogracing permit within the same county; 40 repealing s. 550.0745, F.S., relating to conversion of 41 42 a pari-mutuel permit to a summer jai alai permit; 43 amending ss. 550.09512 and 550.09515, F.S.; requiring 44 the division to revoke the permit of a harness horse or thoroughbred racing permitholder, respectively, who 45 does not pay tax on handle for a specified period of 46 time; deleting provisions relating to the reissuance 47 of escheated permits; amending s. 550.3345, F.S.; 48 revising provisions relating to a limited thoroughbred 49 50 racing permit previously converted from a quarter

PCB TGC 17-01

Page 2 of 81

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Original

51 horse racing permit; amending s. 551.102, F.S.; revising the definition of the term "eligible 52 53 facility"; amending s. 551.104, F.S.; prohibiting the 54 division from issuing a license to conduct or 55 authorizing slot machine gaming after a specified 56 date; amending s. 849.086, F.S.; revising definitions; 57 prohibiting specified cardroom games; authorizing the division to revoke a cardroom license after a certain 58 59 date for specified actions; correcting a cross-60 reference; providing action by the division construed to constitute permission by the state to conduct 61 62 certain cardroom games is not state action; providing an effective date. 63 64 Be It Enacted by the Legislature of the State of Florida: 65 66 67 Section 1. Paragraph (a) of subsection (1) and subsection 68 (3) of section 285.710, Florida Statutes, are amended to read: 69 285.710 Compact authorization.-70 As used in this section, the term: (1)71 "Compact" means the Gaming Compact between the (a) 72 Seminole Tribe of Florida and the State of Florida, executed on April 7, 2010. 73 74 The Gaming Compact between the Seminole Tribe of (3)(a) 75 Florida and the State of Florida, executed by the Governor and Page 3 of 81 PCB TGC 17-01

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Original

the Tribe on April 7, 2010, was is ratified and approved by 76 77 chapter 2010-29, Laws of Florida. The Governor shall cooperate 78 with the Tribe in seeking approval of the compact from the 79 United States Secretary of the Interior. 80 The Governor, on behalf of this state, is hereby (b) 81 authorized and directed to execute a new compact with the Tribe as set forth in paragraph (c), and the Legislature hereby 82 83 signifies in advance its approval and ratification of such 84 compact, provided that it is identical to the compact set forth 85 in paragraph (c) and becomes effective on or before January 1, 2018. The Governor shall cooperate with the Tribe in seeking 86 87 approval of such compact ratified and approved under this 88 paragraph from the Secretary of the Department of the Interior. 89 Upon becoming effective, such compact supersedes the Gaming 90 Compact ratified and approved under paragraph (a), which shall 91 then become null and void. 92 The Legislature hereby approves and ratifies the (C) 93 following Gaming Compact between the State of Florida and the 94 Seminole Tribe of Florida, provided that such compact becomes 95 effective on or before January 1, 2018: 96 97 Gaming Compact Between the Seminole Tribe of Florida 98 and the State of Florida 99 This compact is made and entered into by and between the 100 Page 4 of 81 PCB TGC 17-01

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Original

| 101 | Seminole Tribe of Florida and the State of Florida, with respect |
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| 102 | to the operation of covered games, as defined herein, on the |
| 103 | Tribe's Indian lands, as defined by the Indian Gaming Regulatory |
| 104 | Act, 25 U.S.C. ss. 2701 et seq. |
| 105 | |
| 106 | PART I |
| 107 | |
| 108 | TITLE.—This document shall be referred to as the "Gaming |
| 109 | Compact between the Seminole Tribe of Florida and the State of |
| 110 | <u>Florida."</u> |
| 111 | |
| 112 | PART II |
| 113 | |
| 114 | LEGISLATIVE FINDINGS |
| 115 | (1) The Seminole Tribe of Florida is a federally |
| 116 | recognized tribal government that possesses sovereign powers and |
| 117 | rights of self-government. |
| 118 | (2) The State of Florida is a state of the United States |
| 119 | of America that possesses the sovereign powers and rights of a |
| 120 | state. |
| 121 | (3) The State of Florida and the Seminole Tribe of Florida |
| 122 | maintain a government-to-government relationship. |
| 123 | (4) The United States Supreme Court has long recognized |
| 124 | the right of an Indian Tribe to regulate activity on lands |
| 125 | within its jurisdiction, but the United States Congress, through |
| | |
| | Page 5 of 81 |

PCB TGC 17-01

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Original

2017

126 the Indian Gaming Regulatory Act, has given states a role in the 127 conduct of tribal gaming in accordance with negotiated tribal-128 state compacts. 129 Pursuant to the Seminole Tribe Amended Gaming (5) 130 Ordinance, adopted by Resolution No. C-195-06, and approved by 131 the Chairman of the National Indian Gaming Commission on July 132 10, 2006, hereafter referred to as the "Seminole Tribal Gaming 133 Code," the Seminole Tribe of Florida desires to offer the play 134 of covered games, as defined in Part III, as a means of 135 generating revenues for purposes authorized by the Indian Gaming 136 Regulatory Act, including, without limitation, the support of 137 tribal governmental programs, such as health care, housing, sewer and water projects, police, fire suppression, general 138 139 assistance for tribal elders, day care for children, economic 140 development, educational opportunities, per capita payments to 141 tribal members, and other typical and valuable governmental 142 services and programs for tribal members. 143 This compact is the only gaming compact between the (6) 144 Tribe and the state. This compact supersedes the Gaming Compact 145 between the Tribe and the state executed on or about April 7, 2010, which was subsequently ratified by the Legislature and 146 went into effect on or about July 6, 2010. 147 148 (7) It is in the best interests of the Seminole Tribe of 149 Florida and the State of Florida for the state to enter into a 150 compact with the Tribe that recognizes the Tribe's right to

PCB TGC 17-01

Page 6 of 81

Original

151 offer certain Class III gaming and provides substantial 152 exclusivity of such activities in conjunction with a reasonable 153 revenue sharing arrangement between the Tribe and the state that 154 will entitle the state to significant revenue participation. 155 156 PART III 157 158 DEFINITIONS.-As used in this compact, the term: 159 "Annual oversight assessment" means the amount owed by (1) 160 the Tribe to the state for reimbursement for the actual and reasonable costs incurred by the state compliance agency to 161 162 perform the monitoring functions set forth under the compact. 163 "Class II video bingo terminals" means any electronic (2) 164 aid to a Class II bingo game that includes a video spinning reel 165 or mechanical spinning reel display. "Class III gaming" means the forms of Class III gaming 166 (3) 167 defined in 25 U.S.C. s. 2703(8) and by the regulations of the 168 National Indian Gaming Commission. 169 (4) "Commission" means the Seminole Tribal Gaming 170 Commission, which is the tribal governmental agency that has the authority to carry out the Tribe's regulatory and oversight 171 172 responsibilities under this compact. (5) 173 "Compact" means this Gaming Compact between the 174 Seminole Tribe of Florida and the State of Florida. "Covered game" or "covered gaming activity" means the 175 (6)

PCB TGC 17-01

Page 7 of 81

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176 following Class III gaming activities: 177 Slot machines, which machines must meet all of the (a) 178 following requirements: 179 1. Any mechanical or electrical contrivance, terminal that 180 may or may not be capable of downloading slot games from a central server system, machine, or other device. 181 182 2. Require, for play or operation, the insertion of a 183 coin, bill, ticket, token, or similar object, or payment of any consideration whatsoever, including the use of any electronic 184 payment system, except a credit card or debit card, unless state 185 186 law authorizes the use of an electronic payment system that uses 187 a credit or debit card payment, in which case the Tribe is 188 authorized to use such payment system. 189 3. Are available to play or operate, the play or operation 190 of which, whether by reason of skill or application of the 191 element of chance or both, may deliver or entitle the person or 192 persons playing or operating the contrivance, terminal, machine, 193 or other device to receive cash, billets, tickets, tokens, or 194 electronic credits to be exchanged for cash or to receive 195 merchandise or anything of value whatsoever, whether the payoff 196 is made automatically from the machine or manually. 197 4. Includes associated equipment necessary to conduct the operation of the contrivance, terminal, machine, or other 198 199 device. 200

5. May use spinning reels, video displays, or both.

PCB TGC 17-01

Page 8 of 81

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Original

2017

| 201 | (b) Banking or banked card games, including any card games |
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| 202 | that are banked by the house, a player, other person or party, |
| 203 | or any combination or variation thereof, such as baccarat, |
| 204 | chemin de fer, and blackjack or 21; provided that the Tribe |
| 205 | shall not offer such banked card games at its Brighton or Big |
| 206 | Cypress facilities. |
| 207 | (c) Raffles and drawings. |
| 208 | (d) Any new game, if expressly authorized by the |
| 209 | Legislature pursuant to legislation enacted subsequent to the |
| 210 | effective date of this compact and lawfully conducted by any |
| 211 | person for any purpose pursuant to such authorization, except |
| 212 | for banked card games authorized for any other federally |
| 213 | recognized tribe pursuant to Indian Gaming Regulatory Act, |
| 214 | provided that the tribe has land in federal trust in the state |
| 215 | as of February 1, 2017. |
| 216 | (7) "Covered game employee" or "covered employee" means an |
| 217 | individual employed and licensed by the Tribe whose |
| 218 | responsibilities include the rendering of services with respect |
| 219 | to the operation, maintenance, or management of covered games, |
| 220 | including, but not limited to, managers and assistant managers; |
| 221 | accounting personnel; commission officers; surveillance and |
| 222 | security personnel; cashiers, supervisors, and floor personnel; |
| 223 | cage personnel; and any other employee whose employment duties |
| 224 | require or authorize access to areas of the facility related to |
| 225 | the conduct of covered games or the technical support or storage |
| | Dago 0 of 91 |

PCB TGC 17-01

Page 9 of 81

Original

2017

| of covered game components. The term does not include the |
|---|
| Tribe's elected officials, provided that such individuals are |
| not directly involved in the operation, maintenance, or |
| management of covered games or covered games components. |
| (8) "Documents" means books, records, electronic, |
| magnetic, and computer media documents, and other writings and |
| materials, copies of such documents and writings, and |
| information contained in such documents and writings. |
| (9) "Effective date" means the date on which the compact |
| becomes effective pursuant to subsection (1) of Part XVI. |
| (10) "Electronic bingo machine" means a card minding |
| device, which may only be used in connection with a bingo game |
| as defined in s. 849.0931(1)(a), Florida Statutes, which is |
| certified in advance by an independent testing laboratory |
| approved by the Division of Pari-Mutuel Wagering as a bingo aid |
| device that meets all of the following requirements: |
| (a) Aids a bingo game player by: |
| 1. Storing in the memory of the device not more than three |
| bingo faces of tangible bingo cards as defined by s. |
| 849.0931(1)(b), Florida Statutes, purchased by a player. |
| 2. Comparing the numbers drawn and individually entered |
| into the device by the player to the bingo faces previously |
| stored in the memory of the device. |
| 3. Identifying preannounced winning bingo patterns marked |
| or covered on the stored bingo faces. |
| Dage 10 of 91 |
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PCB TGC 17-01

Page 10 of 81

Original

251 Is not capable of accepting or dispensing any coins, (b) 252 currency, or tokens. 253 Is not capable of monitoring any bingo card face other (C) 254 than the faces of the tangible bingo card or cards purchased by 255 the player for that game. 256 (d) Is not capable of displaying or representing the game 257 result through any means other than highlighting the winning 258 numbers marked or covered on the bingo card face or giving an 259 audio alert that the player's card has a prize-winning pattern. 260 No casino game graphics, themes, or titles, including, but not 261 limited to, depictions of slot machine-style symbols, cards, 262 craps, roulette, or lottery may be used. 263 Is not capable of determining the outcome of any game. (e) (f) 264 Does not award progressive prizes of more than \$2,500. (q) 265 Does not award prizes exceeding \$1,000, other than 266 progressive prizes not exceeding \$2,500. 267 (h) Does not contain more than one player position for playing bingo. 268 269 Does not contain or does not link to more than one (i) 270 video display. 271 (j) Awards prizes based solely on the results of the bingo 272 game, with no additional element of chance. "Facility" means a building or buildings of the Tribe 273 (11)274 in which the covered games authorized by this compact are 275 conducted.

PCB TGC 17-01

Page 11 of 81

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276 (12)"Guaranteed minimum compact term payment" means a 277 minimum total payment for the guarantee payment period of \$3 278 billion, which shall include all revenue share payments during 279 the guarantee payment period. "Guarantee payment period" means the seven-year 280 (13) 281 period beginning July 1, 2017, and ending June 30, 2024. 282 (14) "Guaranteed revenue sharing cycle payment" means the 283 payments as provided in Part XI. 284 "Historic racing machine" means an individual (15) 285 historic race terminal linked to a central server as part of a 286 network-based video game, where the terminals allow pari-mutuel wagering by players on the results of previously conducted horse 287 288 or greyhound races, but only if the game is certified in advance 289 by an independent testing laboratory approved by the Division of 290 Pari-Mutuel Wagering as complying with all of the following 291 requirements: 292 Stores all data on previously conducted horse or (a) 293 greyhound races in a secure format on the central server, which 294 is located at the pari-mutuel facility. 295 (b) Uses only horse or greyhound races that were recorded at licensed pari-mutuel facilities in the United States after 296 297 January 1, 2000. (c) Offers one or more of the following three bet types on 298 all historic racing machines: win-place-show, quinella, or tri-299 300 fecta.

PCB TGC 17-01

Page 12 of 81

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301 Offers one or more of the following racing types: (d) 302 thoroughbreds, harness, or greyhounds. 303 Progressive prizes of more than of \$2,500 are (e) 304 prohibited. 305 (f) Does not award prizes exceeding \$1,000, other than 306 progressive prizes not exceeding \$2,500. 307 (g) After each wager is placed, displays a video of at 308 least the final eight seconds of the horse or greyhound race 309 before any prize is awarded or indicated on the historic racing 310 machine. (h) 311 The display of the video of the horse or greyhound 312 race must occupy at least 70 percent of the historic racing 313 machine's video screen and does not contain and is not linked to 314 more than one video display. 315 (i) Does not use casino game graphics, themes, or titles, 316 including but not limited to, depictions of slot machine-style 317 symbols, cards, craps, roulette, lottery, or bingo. 318 Does not use video or mechanical reel displays. (ij) 319 (k) Does not contain more than one player position for 320 placing wagers. 321 Does not dispense coins, currency, or tokens. (1) 322 Awards prizes solely on the results of a previously (m) 323 conducted horse or greyhound race with no additional element of 324 chance. 325 Uses a random number generator to select the race from (n)

PCB TGC 17-01

Page 13 of 81

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V

Original

2017

| 326 | the central server to be displayed to the player and the numbers |
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| 327 | or other designations of race entrants that will be used in the |
| 328 | various bet types for any "Quick Pick" bets. To prevent an |
| 329 | astute player from recognizing the race based on the entrants |
| 330 | and thus knowing the results before placing a wager, the |
| 331 | entrants of the race may not be identified until after all |
| 332 | wagers for that race have been placed. |
| 333 | (16) "Indian Gaming Regulatory Act" means the Indian |
| 334 | Gaming Regulatory Act, Pub. L. 100-497, Oct. 17, 1988, 102 Stat. |
| 335 | 2467, codified at 25 U.S.C. ss. 2701 et seq. and 18 U.S.C. ss. |
| 336 | <u>1166 to 1168.</u> |
| 337 | (17) "Indian lands" means the lands defined in 25 U.S.C. |
| 338 | <u>s. 2703(4).</u> |
| 339 | (18) "Initial payment period" means the period beginning |
| 340 | on the effective date of the compact and ending on June 30, |
| 341 | <u>2017.</u> |
| 342 | (19) "Lottery vending machine" means any of the following |
| 343 | three types of machines: |
| 344 | (a) A machine that dispenses pre-printed paper instant |
| 345 | lottery tickets, but that does not read or reveal the results of |
| 346 | the ticket or allow a player to redeem any ticket. The machine, |
| 347 | or any machine or device linked to the machine, does not include |
| 348 | or make use of video reels or mechanical reels or other video |
| 349 | depictions of slot machine or casino game themes or titles for |
| 350 | game play, but does not preclude the use of casino game themes |
| | |

PCB TGC 17-01

Page 14 of 81

Original

2017

| 351 | or titles on such tickets or signage or advertising displays on |
|-----|--|
| 352 | the machines; |
| 353 | (b) A machine that dispenses pre-determined electronic |
| 354 | instant lottery tickets and displays an image of the ticket on a |
| 355 | video screen on the machine, where the player touches the image |
| 356 | of the ticket on the video screen to reveal the outcome of the |
| 357 | ticket, provided the machine does not permit a player to redeem |
| 358 | winnings, does not make use of video reels or mechanical reels, |
| 359 | and does not simulate the play of any casino game, and the |
| 360 | lottery retailer is paid the same amount as would be paid for |
| 361 | the sale of paper instant lottery tickets; or |
| 362 | (c) A machine that dispenses a paper lottery ticket with |
| 363 | numbers selected by the player or randomly by the machine, but |
| 364 | does not reveal the winning numbers. Such winning numbers are |
| 365 | selected at a subsequent time and different location through a |
| 366 | drawing conducted by the state lottery. The machine, or any |
| 367 | machine or device linked to the machine, does not include or |
| 368 | make use of video reels or mechanical reels or other video |
| 369 | depictions of slot machine or casino game themes or titles for |
| 370 | game play. The machine is not used to redeem a winning ticket. |
| 371 | This does not preclude the use of casino game themes, titles for |
| 372 | signage, or advertising displays on the machine. |
| 373 | (20) "Monthly payment" means the monthly revenue share |
| 374 | payment which the Tribe remits to the state on the 15th day of |
| 375 | the month following each month of the revenue sharing cycle. |
| | |

PCB TGC 17-01

Page 15 of 81

Original

2017

| 376 | (21) "Net revenue base" means the net win for the 12 month |
|-----|--|
| 377 | period immediately preceding the offering of, for public or |
| 378 | private use, Class III or other casino-style gaming at any of |
| 379 | the licensed pari-mutuel facilities in Broward and Miami-Dade |
| 380 | Counties, except that if the commencement of such new gaming is |
| 381 | made during the initial payment period, "net revenue base" means |
| 382 | net win for the 12-month period immediately preceding this |
| 383 | compact. |
| 384 | (22) "Net win" means the total receipts from the play of |
| 385 | all covered games less all prize payouts and free play or |
| 386 | promotional credits issued by the Tribe. |
| 387 | (23) "Pari-mutuel wagering activities" means those |
| 388 | activities presently authorized by chapter 550, which do not |
| 389 | include any casino-style game or device that includes video |
| 390 | reels or mechanical reels or other slot machine or casino game |
| 391 | themes or titles. |
| 392 | (24) "Patron" means any person who is on the premises of a |
| 393 | facility, or who enters the Tribe's Indian lands for the purpose |
| 394 | of playing covered games authorized by this compact. |
| 395 | (25) "Regular payment period" means the period beginning |
| 396 | on July 1, 2024, and terminating at the end of the term of this |
| 397 | compact. |
| 398 | (26) "Revenue share payment" means the periodic payment by |
| 399 | the Tribe to the state provided for in Part XI. |
| 400 | (27) "Revenue sharing cycle" means the annual 12-month |
| | Page 16 of 81 |

PCB TGC 17-01

Page 16 of 81

Original

2017

| 401 | period of the Tribe's operation of covered games in its |
|-----|--|
| 402 | facilities beginning on July 1 of each fiscal year, except for |
| 403 | during the initial payment period, when the first revenue |
| 404 | sharing cycle begins on July 1 of the previous year, and the |
| 405 | Tribe receives a credit for any amount paid to the state under |
| 406 | the 2010 Compact for that revenue sharing cycle. |
| 407 | (28) "Rules and regulations" means the rules and |
| 408 | regulations promulgated by the commission for implementation of |
| 409 | this compact. |
| 410 | (29) "State" means the State of Florida. |
| 411 | (30) "State compliance agency" means the state agency |
| 412 | designated by the Florida Legislature that has the authority to |
| 413 | carry out the state's oversight responsibilities under this |
| 414 | compact. |
| 415 | (31) "Tribe" means the Seminole Tribe of Florida or any |
| 416 | affiliate thereof conducting activities pursuant to this compact |
| 417 | under the authority of the Seminole Tribe of Florida. |
| 418 | |
| 419 | PART IV |
| 420 | |
| 421 | AUTHORIZATION AND LOCATION OF COVERED GAMES |
| 422 | (1) The Tribe and state agree that the Tribe is authorized |
| 423 | to operate covered games on its Indian lands, as defined in the |
| 424 | Indian Gaming Regulatory Act, in accordance with the provisions |
| 425 | of this compact. Except as otherwise provided in this compact, |
| | Page 17 of 81 |
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PCB TGC 17-01

Page 17 of 81

Original

2017

| 426 | nothing gives the Tribe the right to conduct roulette, craps, |
|-----|--|
| 427 | roulette-style games, or craps-style games; however, nothing in |
| 428 | the compact is intended to prohibit the Tribe from operating |
| 429 | slot machines that employ video or mechanical displays of |
| 430 | roulette, wheels, or other table game themes. Except for the |
| 431 | provisions in subsection (1) of Part XI, nothing in this compact |
| 432 | shall limit the Tribe's right to operate any Class II gaming |
| 433 | under the Indian Gaming Regulatory Act. |
| 434 | (2) The Tribe is authorized to conduct covered games under |
| 435 | this compact only at the following seven existing facilities, |
| 436 | which may be expanded or replaced as provided in subsection (3) |
| 437 | on Indian lands: |
| 438 | (a) Seminole Indian Casino-Brighton in Okeechobee, FL. |
| 439 | (b) Seminole Indian Casino-Coconut Creek in Coconut Creek, |
| 440 | <u>FL.</u> |
| 441 | (c) Seminole Indian Casino-Hollywood in Hollywood, FL. |
| 442 | (d) Seminole Indian Casino-Immokalee in Immokalee, FL. |
| 443 | (e) Seminole Indian Casino-Big Cypress in Clewiston, FL. |
| 444 | (f) Seminole Hard Rock Hotel & Casino-Hollywood in |
| 445 | Hollywood, FL. |
| 446 | (g) Seminole Hard Rock Hotel & Casino-Tampa in Tampa, FL. |
| 447 | (3) Any of the facilities existing on Indian lands |
| 448 | identified in subsection (2) may be expanded or replaced by |
| 449 | another facility on the same Indian lands with at least 60 days' |
| 450 | advance notice to the state. |
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PCB TGC 17-01

Page 18 of 81

Original

2017

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| 452 | PART V |
| 453 | |
| 454 | RULES AND REGULATIONS; MINIMUM REQUIREMENTS FOR |
| 455 | OPERATIONS |
| 456 | (1) At all times during the term of this compact, the |
| 457 | Tribe shall be responsible for all duties that are assigned to |
| 458 | it and the commission under this compact. The Tribe shall |
| 459 | promulgate any rules necessary to implement this compact, which, |
| 460 | at a minimum, shall expressly include or incorporate by |
| 461 | reference all provisions of Parts V, VI, VII, and VIII. Nothing |
| 462 | in this compact shall be construed to affect the Tribe's right |
| 463 | to amend its rules, provided that any such amendment is in |
| 464 | conformity with this compact. The state compliance agency may |
| 465 | propose additional rules consistent with and related to the |
| 466 | implementation of this compact to the commission at any time, |
| 467 | and the commission shall give good faith consideration to such |
| 468 | proposed rules and shall notify the state compliance agency of |
| 469 | its response or action with respect to such rules. |
| 470 | (2) All facilities shall comply with, and all covered |
| 471 | games approved under this compact shall be operated in |
| 472 | accordance with, the requirements set forth in this compact, |
| 473 | including, but not limited to, the requirements set forth in |
| 474 | subsections (3) and (4) and the Tribe's Internal Control |
| 475 | Policies and Procedures. In addition, all facilities and all |
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PCB TGC 17-01

Page 19 of 81

Original

2017

| 476 | covered games shall be operated in strict compliance with tribal |
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| 477 | internal control standards that provide a level of control that |
| 478 | equals or exceeds those set forth in the National Indian Gaming |
| 479 | Commission's Minimum Internal Control Standards, 25 C.F.R. part |
| 480 | 542 (2015), even if the 2015 regulations are determined to be |
| 481 | invalid or are subsequently withdrawn by the National Indian |
| 482 | Gaming Commission. The Tribe may amend or supplement its |
| 483 | internal control standards from time to time, provided that such |
| 484 | changes continue to provide a level of control that equals or |
| 485 | exceeds those set forth in 25 C.F.R. part 542 (2015). |
| 486 | (3) The Tribe and the commission shall retain all |
| 487 | documents in compliance with the requirements set forth in the |
| 488 | Tribe's Record Retention Policies and Procedures. |
| 489 | (4) The Tribe shall continue and maintain its program to |
| 490 | combat problem gambling and curtail compulsive gambling and work |
| 491 | with the Florida Council on Compulsive Gambling or other |
| 492 | organizations dedicated to assisting problem gamblers. The Tribe |
| 493 | shall continue to maintain the following safeguards against |
| 494 | problem gambling: |
| 495 | (a) The Tribe shall provide to every new gaming employee a |
| 496 | comprehensive training and education program designed in |
| 497 | cooperation with the Florida Council on Compulsive Gambling or |
| 498 | other organization dedicated to assisting problem gamblers. |
| 499 | (b) The Tribe shall make printed materials available to |
| 500 | patrons, which include contact information for the Florida |
| | |

PCB TGC 17-01

Page 20 of 81

Original

2017

| 501 | Council on Compulsive Gambling 24-hour helpline or other hotline |
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| 502 | dedicated to assisting problem gamblers, and will work with the |
| 503 | Florida Council on Compulsive Gambling or other organization |
| 504 | dedicated to assisting problem gamblers to provide contact |
| 505 | information for the Florida Council on Compulsive Gambling or |
| 506 | other organization dedicated to assisting problem gamblers, and |
| 507 | to provide such information on the facility's website. The Tribe |
| 508 | shall continue to display within the facilities all literature |
| 509 | from the Florida Council on Compulsive Gambling or other |
| 510 | organization dedicated to assisting problem gamblers. |
| 511 | (c)1. The commission shall establish a list of patrons |
| 512 | voluntarily excluded from the Tribe's facilities, pursuant to |
| 513 | subparagraph 3. |
| 514 | 2. The Tribe shall employ its best efforts to exclude |
| 515 | patrons on such list from entry into its facilities; provided |
| 516 | that nothing in this compact shall create for patrons who are |
| 517 | excluded but gain access to the facilities, or any other person, |
| 518 | a cause of action or claim against the state, the Tribe or the |
| 519 | commission, or any other person, entity, or agency for failing |
| 520 | to enforce such exclusion. |
| 521 | 3. Patrons who believe they may be compulsively playing |
| 522 | covered games may request that their names be placed on the list |
| 523 | of patrons voluntarily excluded from the Tribe's facilities. |
| 524 | (d) All covered game employees shall receive training on |
| 525 | identifying compulsive gamblers and shall be instructed to ask |
| | Dage 21 of 91 |

PCB TGC 17-01

Page 21 of 81

Original

526 such persons to leave. The facility shall make available signs 527 bearing a toll-free help-line number and educational and 528 informational materials at conspicuous locations and automated teller machines in each facility, which materials aim at the 529 530 prevention of problem gaming and which specify where patrons may 531 receive counseling or assistance for gambling problems. All 532 covered games employees shall also be screened by the Tribe for 533 compulsive gambling habits. Nothing in this subsection shall 534 create for patrons, or any other person, a cause of action or claim against the state, the Tribe or the commission, or any 535 536 other person, entity, or agency for failing to identify a patron 537 or person who is a compulsive gambler or ask that person to 538 leave. 539 (e) The Tribe shall follow the rules for exclusion of 540 patrons set forth in the Seminole Tribal Gaming Code. 541 (f) The Tribe shall make diligent efforts to prevent 542 underage individuals from loitering in the area of each facility 543 where the covered games take place. 544 The Tribe shall ensure that any advertising and (q) 545 marketing of covered games at the facilities contains a responsible gambling message and a toll-free help-line number 546 for problem gamblers, where practical, and that such advertising 547 548 and marketing make no false or misleading claims. 549 (5) The state may secure an annual independent audit of 550 the conduct of covered games subject to this compact, as set

PCB TGC 17-01

Page 22 of 81

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2017

| 551 | forth in Part VIII. |
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| 552 | (6) The facility shall visibly display summaries of the |
| 553 | rules for playing covered games and promotional contests and |
| 554 | shall make available complete sets of rules upon request. The |
| 555 | Tribe shall provide copies of all such rules to the state |
| 556 | compliance agency within 30 calendar days after issuance or |
| 557 | amendment. |
| 558 | (7) The Tribe shall provide the commission and state |
| 559 | compliance agency with a chart of the supervisory lines of |
| 560 | authority with respect to those directly responsible for the |
| 561 | conduct of covered games, and shall promptly notify those |
| 562 | agencies of any material changes to the chart. |
| 563 | (8) The Tribe shall continue to maintain proactive |
| 564 | approaches to prevent improper alcohol sales, drunk driving, |
| 565 | underage drinking, and underage gambling. These approaches shall |
| 566 | involve intensive staff training, screening and certification, |
| 567 | patron education, and the use of security personnel and |
| 568 | surveillance equipment in order to enhance patrons' enjoyment of |
| 569 | the facilities and provide for patron safety. |
| 570 | (a) Staff training includes specialized employee training |
| 571 | in nonviolent crisis intervention, driver license verification, |
| 572 | and detection of intoxication. |
| 573 | (b) Patron education shall be carried out through notices |
| 574 | transmitted on valet parking stubs, posted signs in the |
| 575 | facilities, and in brochures. |
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PCB TGC 17-01

Page 23 of 81

Original

576 Roving and fixed security officers, along with (C) 577 surveillance cameras, shall assist in the detection of 578 intoxicated patrons, investigate problems, and engage with 579 patrons to deescalate volatile situations. 580 To help prevent alcohol-related crashes, the Tribe (d) will continue to operate the "Safe Ride Home Program," a free 581 taxi service. 582 (e) 583 The Tribe shall maintain these programs and policies 584 in its Alcohol Beverage Control Act for the duration of the 585 compact but may replace such programs and policies with stricter 586 or more extensive programs and policies. The Tribe shall provide 587 the state with written notice of any changes to the Tribe's 588 Alcohol Beverage Control Act, which notice shall include a copy 589 of such changes and shall be sent on or before the effective 590 date of the change. Nothing in this subsection shall create for 591 patrons, or any other person, a cause of action or claim against 592 the state, the Tribe or the commission, or any other person, 593 entity, or agency for failing to fulfill the requirements of 594 this subsection. 595 (9) A person under 21 years of age may not play covered 596 games, unless otherwise permitted by state law. 597 (10) The Tribe may establish and operate facilities that 598 operate covered games only on its Indian lands as defined by the 599 Indian Gaming Regulatory Act and as specified in Part IV. 600 The commission shall keep a record of, and shall (11)

PCB TGC 17-01

Page 24 of 81

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V

Original

2017

| 601 | report at least quarterly to the state compliance agency, the |
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| 602 | number of covered games in each facility, by the name or type of |
| 603 | each game and its identifying number. |
| 604 | (12) The Tribe and the commission shall make available, to |
| 605 | any member of the public upon request, within 10 business days, |
| 606 | a copy of the minimum internal control standards of the National |
| 607 | Indian Gaming Commission (25 C.F.R. part 542 (2015)), the |
| 608 | Seminole Tribal Gaming Code, this compact, the rules of each |
| 609 | covered game operated by the Tribe, and the administrative |
| 610 | procedures for addressing patron tort claims under Part VI. |
| 611 | |
| 612 | PART VI |
| 613 | |
| 614 | PATRON DISPUTES, WORKERS' COMPENSATION, TORT CLAIMS; PRIZE |
| 615 | CLAIMS; LIMITED CONSENT TO SUIT |
| 616 | (1) All patron disputes involving gaming shall be resolved |
| 617 | in accordance with the procedures established in the Seminole |
| 618 | Tribal Gaming Code. |
| 619 | (2) Tort claims by employees of the Tribe's facilities |
| 620 | will be handled pursuant to the provisions of the Tribe's |
| 621 | Workers' Compensation Ordinance, which shall provide workers the |
| 622 | same or better protections as provided in state workers' |
| 623 | compensation laws. |
| 624 | (3) Disputes involving employees of the Tribe's facilities |
| 625 | will be handled pursuant to the provisions of the Tribe's policy |
| | Page 25 of 81 |

PCB TGC 17-01

raye 25 01 81

Original

2017

| 626 | for gaming employees, as set forth in the Employee Fair |
|-----|--|
| 627 | Treatment and Dispute Resolution Policy. |
| 628 | (4) A patron who claims to have been injured after the |
| 629 | effective date of the compact at one of the Tribe's facilities |
| 630 | in which covered games are played is required to provide written |
| 631 | notice to the Tribe's Risk Management Department or the |
| 632 | facility, in a reasonable and timely manner, but no longer than |
| 633 | three years after the date of the incident giving rise to the |
| 634 | claimed injury, or the claim shall be forever barred. |
| 635 | (5) The Tribe shall have 30 days to respond to a claim |
| 636 | made by a patron. If the Tribe fails to respond within 30 days, |
| 637 | the patron may file suit against the Tribe. When the Tribe |
| 638 | responds to an incident alleged to have caused a patron's injury |
| 639 | or illness, the Tribe shall provide a claim form to the patron. |
| 640 | The form must include the address for the Tribe's Risk |
| 641 | Management Department and provide notice of the Tribe's |
| 642 | administrative procedures for addressing patron tort claims, |
| 643 | including notice of the relevant deadlines that may bar such |
| 644 | claims if the Tribe's administrative procedures are not |
| 645 | followed. It is the patron's responsibility to complete the form |
| 646 | and forward the form to the Tribe's Risk Management Department |
| 647 | within a reasonable period of time, and in a reasonable and |
| 648 | timely manner. Nothing herein shall interfere with any claim a |
| 649 | patron might have arising under the Federal Tort Claim Act. |
| 650 | (6) Upon receiving written notification of the claim, the |
| | |

PCB TGC 17-01

Page 26 of 81

Original

651 Tribe's Risk Management Department shall forward the 652 notification to the Tribe's insurance carrier. The Tribe shall 653 use its best efforts to ensure that the insurance carrier 654 contacts the patron within a reasonable period of time after 655 receipt of the claim. 656 (7) The insurance carrier shall handle the claim to conclusion. If the patron, Tribe, and insurance carrier are not 657 658 able to resolve the claim in good faith within one year after 659 the patron provided written notice to the Tribe's Risk 660 Management Department or the facility, the patron may bring a 661 tort claim against the Tribe in any court of competent 662 jurisdiction in the county in which the incident alleged to have 663 caused injury occurred, as provided in this compact, and subject 664 to a four-year statute of limitations, which shall begin to run 665 from the date of the incident of the injury alleged in the 666 claim. A patron's notice of injury to the Tribe pursuant to 667 subsection (4) and the fulfillment of the good faith attempt at 668 resolution pursuant to this part are conditions precedent to 669 filing suit. 670 (8) For tort claims of patrons made pursuant to subsection 671 (4), the Tribe agrees to waive its tribal sovereign immunity to 672 the same extent as the state waives its sovereign immunity, as specified in s. 768.28(1) and (5), Florida Statutes, as such 673 674 provision may be amended from time to time by the Legislature. 675 In no event shall the Tribe be deemed to have waived its tribal

PCB TGC 17-01

Page 27 of 81

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V

Original

2017

| 676 | immunity from suit beyond the limits set forth in s. 768.28(5), |
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| 677 | Florida Statutes. These limitations are intended to include |
| 678 | liability for compensatory damages, costs, pre-judgment |
| 679 | interest, and attorney fees if otherwise allowable under state |
| 680 | law arising out of any claim brought or asserted against the |
| 681 | Tribe, its subordinate governmental and economic units, any |
| 682 | Tribal officials, employees, servants, or agents in their |
| 683 | official capacities and any entity which is owned, directly or |
| 684 | indirectly, by the Tribe. All patron tort claims brought |
| 685 | pursuant to this provision shall be brought solely against the |
| 686 | Tribe, as the sole party in interest. |
| 687 | (9) Notices explaining the procedures and time limitations |
| 688 | with respect to making a tort claim shall be prominently |
| 689 | displayed in the facilities, posted on the Tribe's website, and |
| 690 | provided to any patron for whom the Tribe has notice of the |
| 691 | injury or property damage giving rise to the tort claim. Such |
| 692 | notices shall explain: |
| 693 | (a) The method and places for making a tort claim, |
| 694 | including where the patron must submit the claim. |
| 695 | (b) That the process is the exclusive method for asserting |
| 696 | a tort claim arising under this section against the Tribe. |
| 697 | (c) That the Tribe and its insurance carrier have one year |
| 698 | from the date the patron gives notice of the claim to resolve |
| 699 | the matter, and that after that time, the patron may file suit |
| 700 | |
| 100 | in a court of competent jurisdiction. |

PCB TGC 17-01

Page 28 of 81

Original

701 That the exhaustion of the process is a prerequisite (d) 702 to filing a claim in state court. 703 That claims that fail to follow this process shall be (e) 704 forever barred. 705 (10)The Tribe shall maintain an insurance policy that 706 shall: 707 (a) Prohibit the insurer or the Tribe from invoking tribal 708 sovereign immunity for claims up to the limits to which the 709 state has waived sovereign immunity as set forth in s. 768.28(5), Florida Statutes, or its successor statute. 710 Include covered claims made by a patron or invitee for 711 (b) 712 personal injury or property damage. 713 (c) Permit the insurer or the Tribe to assert any 714 statutory or common law defense other than sovereign immunity. 715 (d) Provide that any award or judgment rendered in favor 716 of a patron or invitee shall be satisfied solely from insurance 717 proceeds. The Tribal Council of the Seminole Tribe of Florida 718 (11)may, in its discretion, consider claims for compensation in 719 720 excess of the limits of the Tribe's waiver of its sovereign 721 immunity. 722 723 PART VII 724 725 ENFORCEMENT OF COMPACT PROVISIONS.-Page 29 of 81

PCB TGC 17-01

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2017

| 726 | (1) The Tribe, the commission, and the state compliance |
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| 727 | agency, to the extent authorized by this compact, shall be |
| 728 | responsible for regulating activities pursuant to this compact. |
| 729 | As part of its responsibilities, the Tribe shall adopt or issue |
| 730 | standards designed to ensure that the facilities are |
| 731 | constructed, operated, and maintained in a manner that |
| 732 | adequately protects the environment and public health and |
| 733 | safety. Additionally, the Tribe and the commission shall ensure |
| 734 | that: |
| 735 | (a) Operation of the conduct of covered games is in strict |
| 736 | compliance with: |
| 737 | 1. The Seminole Tribal Gaming Code. |
| 738 | 2. All rules, regulations, procedures, specifications, and |
| 739 | standards lawfully adopted by the National Indian Gaming |
| 740 | Commission and the commission. |
| 741 | 3. The provisions of this compact, including, but not |
| 742 | limited to, the Tribe's standards and rules. |
| 743 | (b) Reasonable measures are taken to: |
| 744 | 1. Ensure the physical safety of facility patrons, |
| 745 | employees, and any other person while in the facility. |
| 746 | 2. Prevent illegal activity at the facilities or with |
| 747 | regard to the operation of covered games, including, but not |
| 748 | limited to, the maintenance of employee procedures and a |
| 749 | surveillance system. |
| 750 | 3. Ensure prompt notification is given, in accordance with |
| | Daga 20 of 91 |

PCB TGC 17-01

Page 30 of 81

Original

751 applicable law, to appropriate law enforcement authorities of 752 persons who may be involved in illegal acts. 753 4. Ensure that the construction and maintenance of the 754 facilities complies with the standards of the Florida Building 755 Code, the provisions of which the Tribe has adopted as the 756 Seminole Tribal Building Code. 757 5. Ensure adequate emergency access plans have been 758 prepared to ensure the health and safety of all covered game 759 patrons. 760 (2) All licenses for members and employees of the 761 commission shalt be issued according to the same standards and 762 terms applicable to facility employees. The commission's 763 officers shalt be independent of the Tribal gaming operations, 764 and shall be supervised by and accountable only to the 765 commission. A commission officer shall be available to the 766 facility during all hours of operation upon reasonable notice, 767 and shall have immediate access to any and all areas of the 768 facility for the purpose of ensuring compliance with the 769 provisions of this compact. The commission shall investigate any 770 suspected or reported violation of this part and shall 771 officially enter into its files timely written reports of 772 investigations and any action taken thereon, and shall forward 773 copies of such investigative reports to the state compliance 774 agency within 30 calendar days after such filing. The scope of 775 such reporting shall be determined by the commission and the

PCB TGC 17-01

Page 31 of 81

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776 state compliance agency as soon as practicable after the 777 effective date of this compact. Any such violations shall be 778 reported immediately to the commission, and the commission shall 779 immediately forward such reports to the state compliance agency. In addition, the commission shall promptly report to the state 780 781 compliance agency any such violations which it independently 782 discovers. 783 In order to develop and foster a positive and (3) 784 effective relationship in the enforcement of the provisions of 785 this compact, representatives of the commission and the state 786 compliance agency shall meet at least annually to review past 787 practices and examine methods to improve the regulatory scheme 788 created by this compact. The meetings shall take place at a 789 location mutually agreed upon by the commission and the state 790 compliance agency. The state compliance agency, before or during 791 such meetings, shall disclose to the commission any concerns, 792 suspected activities, or pending matters reasonably believed to 793 constitute violations of the compact by any person, 794 organization, or entity, if such disclosure will not compromise 795 the interest sought to be protected. 796 797 PART VIII 798 799 STATE MONITORING OF COMPACT.-800 It is the express intent of the Tribe and the state (1)

PCB TGC 17-01

Page 32 of 81

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2017

| 801 | for the Tribe to regulate its own gaming activities. |
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| 802 | Notwithstanding, the state shall conduct random inspections as |
| 803 | provided for in this part to ensure that the Tribe is operating |
| 804 | in accordance with the terms of the compact. The state may |
| 805 | secure an annual independent audit of the conduct of covered |
| 806 | games subject to this compact and the Tribe shall cooperate with |
| 807 | such audit. The audit shall: |
| 808 | (a) Examine the covered games operated by the Tribe to |
| 809 | ensure compliance with the Tribe's Internal Control Policies and |
| 810 | Procedures and any other standards, policies, or procedures |
| 811 | adopted by the Tribe, the commission, or the National Indian |
| 812 | Gaming Commission which govern the play of covered games. |
| 813 | (b) Examine revenues in connection with the conduct of |
| 814 | covered games and include only those matters necessary to verify |
| 815 | the determination of net win and the basis and amount of the |
| 816 | payments the Tribe is required to make to the state pursuant to |
| 817 | Part XI and as defined by this compact. |
| 818 | (2) A copy of the audit report for the conduct of covered |
| 819 | games shall be submitted to the commission and the state |
| 820 | compliance agency within 30 calendar days after completion. |
| 821 | Representatives of the state compliance agency may, upon |
| 822 | request, meet with the Tribe and its auditors to discuss the |
| 823 | audit or any matters in connection therewith; provided that such |
| 824 | discussions are limited to covered games information. The annual |
| 825 | independent audit shall be performed by an independent firm |
| | Dago 22 of 91 |

PCB TGC 17-01

Page 33 of 81

Original

826 selected by the state which has experience in auditing casino 827 operations, subject to the consent of the Tribe, which shall not 828 be unreasonably withheld. The Tribe shall pay for the cost of 829 the annual independent audit. 830 (3) As provided herein, the state compliance agency may 831 monitor the conduct of covered games to ensure that the covered 832 games are conducted in compliance with the provisions of this 833 compact. In order to properly monitor the conduct of covered 834 games, agents of the state compliance agency shall have 835 reasonable access, without prior notice, to all public areas of 836 the facilities related to the conduct of covered games. 837 The state compliance agency may review whether the (a) 838 Tribe's facilities are in compliance with the provisions of this 839 compact and the Tribe's rules and regulations applicable to 840 covered games and may advise on such issues as it deems 841 appropriate. In the event of a dispute or disagreement between 842 Tribal and state compliance agency regulators, the dispute or disagreement shall be resolved in accordance with the dispute 843 844 resolution provisions of Part XIII. 845 (b) In order to fulfill its oversight responsibilities,

846 the state compliance agency may perform on a routine basis 847 specific oversight testing procedures as set forth in paragraph 848 (c).

849(c)1. The state compliance agency may inspect any covered850games in operation at the facilities on a random basis, provided

PCB TGC 17-01

Page 34 of 81

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2017

| 851 | that such inspections may not exceed one inspection per facility |
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| 852 | per calendar month and the inspection may not exceed ten hours |
| 853 | spread over those two consecutive days, unless the state |
| 854 | compliance agency determines that additional inspection hours |
| 855 | are needed to address the issues of substantial noncompliance, |
| 856 | provided that the state compliance agency provides the Tribe |
| 857 | with written notification of the need for additional inspection |
| 858 | hours and a written summary of the substantial noncompliance |
| 859 | issues that need to be addressed during the additional |
| 860 | inspection hours. The total number of hours of random |
| 861 | inspections and audit reviews per year may not exceed 1,200 |
| 862 | hours. Inspection hours shall be calculated on the basis of the |
| 863 | actual amount of time spent by the state compliance agency |
| 864 | conducting the inspections at a facility, without accounting for |
| 865 | a multiple for the number of state compliance agency inspectors |
| 866 | or agents engaged in the inspection activities. The purpose of |
| 867 | the random inspections is to confirm that the covered games |
| 868 | function properly pursuant to the manufacturer's technical |
| 869 | standards and are conducted in compliance with the Tribe's |
| 870 | Internal Control Policies and Procedures and any other |
| 871 | standards, policies, or procedures adopted by the Tribe, the |
| 872 | commission, or the National Indian Gaming Commission which |
| 873 | govern the play of covered games. The state compliance agency |
| 874 | shall provide notice to the commission of such inspection at or |
| 875 | before the commencement of a random inspection and a commission |
| | |

PCB TGC 17-01

Page 35 of 81

Original

876 agent may accompany the inspection. 877 For each facility, the state compliance agency may 2. 878 perform one annual review of the Tribe's slot machine compliance 879 audit. 880 3. At least annually, the state compliance agency may meet 881 with the Tribe's Internal Audit Department for Gaming to review 882 internal controls and the record of violations for each 883 facility. 884 The state compliance agency shall cooperate with and (d) 885 obtain the assistance of the commission in the resolution of any 886 conflicts in the management of the facilities, and the state and 887 the Tribe shall make their best efforts to resolve disputes 888 through negotiation whenever possible. Therefore, to foster a 889 spirit of cooperation and efficiency, the state compliance 890 agency and Tribe shall resolve disputes between the state 891 compliance agency staff and commission regulators about the day-892 to-day regulation of the facilities through meeting and 893 conferring in good faith. Notwithstanding, the parties may seek 894 other relief that may be available when circumstances require 895 such relief. In the event of a dispute or disagreement between 896 tribal and state compliance agency regulators, the dispute or 897 disagreement shall be resolved in accordance with the dispute 898 resolution provisions of Part XIII. 899 The state compliance agency shall have access to each (e) 900 facility during the facility's operating hours only. No advance

PCB TGC 17-01

Page 36 of 81

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901 notice is required when the state compliance agency inspection 902 is limited to public areas of the facility; however, 903 representatives of the state compliance agency shall provide 904 notice and photographic identification to the commission of 905 their presence before beginning any such inspections. 906 The state compliance agency agents, to ensure that a (f) 907 commission officer is available to accompany the state compliance agency agents at all times, shall provide one hour 908 909 notice and photographic identification to the commission before 910 entering any nonpublic area of a facility. Agents of the state 911 compliance agency shall be accompanied in nonpublic areas of the 912 facility by a commission officer. 913 (g) Any suspected or claimed violations of this compact or 914 law shall be directed in writing to the commission. The state 915 compliance agency, in conducting the functions assigned them 916 under this compact, shall not unreasonably interfere with the 917 functioning of any facility. 918 Subject to the provisions herein, the state compliance (4) 919 agency may review and request copies of documents of the 920 facility related to its conduct of covered games during normal 921 business hours unless otherwise allowed by the Tribe. The Tribe 922 may not refuse said inspection and copying of such documents, 923 provided that the inspectors do not require copies of documents 924 in such volume that it unreasonably interferes with the normal 925 functioning of the facilities or covered games. To the extent

PCB TGC 17-01

Page 37 of 81

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926 that the Tribe provides the state with information that the 927 Tribe claims to be confidential and proprietary, or a trade 928 secret, the Tribe shall clearly mark such information with the 929 following designation: "Trade Secret, Confidential, and 930 Proprietary." If the state receives a request under chapter 119 that would include such designated information, the state shall 931 932 promptly notify the Tribe of such a request and the Tribe shall 933 promptly notify the state about its intent to seek judicial 934 protection from disclosure. Upon such notice from the Tribe, the 935 state may not release the requested information until a judicial 936 determination is made. This designation and notification 937 procedure does not excuse the state from complying with the 938 requirements of the state's public records law, but is intended 939 to provide the Tribe the opportunity to seek whatever judicial 940 remedy it deems appropriate. Notwithstanding the foregoing 941 procedure, the state compliance agency may provide copies of 942 tribal documents to federal law enforcement and other state 943 agencies or state consultants that the state deems reasonably 944 necessary in order to conduct or complete any investigation of 945 suspected criminal activity in connection with the Tribe's 946 covered games or the operation of the facilities or in order to 947 assure the Tribe's compliance with this compact. 948 (5) At the completion of any state compliance agency 949 inspection or investigation, the state compliance agency shall

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PCB TGC 17-01

Page 38 of 81

forward any written report thereof to the commission, containing

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V

Original

2017

| 951 | all pertinent, nonconfidential, nonproprietary information |
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| 952 | regarding any violation of applicable laws or this compact which |
| 953 | was discovered during the inspection or investigation unless |
| 954 | disclosure thereof would adversely impact an investigation of |
| 955 | suspected criminal activity. Nothing herein prevents the state |
| 956 | compliance agency from contacting tribal or federal law |
| 957 | enforcement authorities for suspected criminal wrongdoing |
| 958 | involving the commission. |
| 959 | (6) Except as expressly provided in this compact, nothing |
| 960 | in this compact shall be deemed to authorize the state to |
| 961 | regulate the Tribe's government, including the commission, or to |
| 962 | interfere in any way with the Tribe's selection of its |
| 963 | governmental officers, including members of the commission. |
| 964 | |
| 965 | PART IX |
| 966 | |
| 967 | JURISDICTIONThe obligations and rights of the state and |
| 968 | the Tribe under this compact are contractual in nature and are |
| 969 | to be construed in accordance with the laws of the state. This |
| 970 | compact does not alter tribal, federal, or state civil |
| 971 | adjudicatory or criminal jurisdiction in any way. |
| 972 | |
| 973 | PART X |
| 974 | |
| 975 | LICENSINGThe Tribe and the commission shall comply with |
| | Page 39 of 81 |

PCB TGC 17-01

Original

2017

| 976 | the licensing and hearing requirements set forth in 25 C.F.R. |
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| 977 | parts 556 and 558, as well as the applicable licensing and |
| 978 | hearing requirements set forth in Articles IV, V, and VI of the |
| 979 | Seminole Tribal Gaming Code. The commission shall notify the |
| 980 | state compliance agency of any disciplinary hearings or |
| 981 | revocation or suspension of licenses. |
| 982 | |
| 983 | PART XI |
| 984 | |
| 985 | PAYMENTS TO THE STATE OF FLORIDA |
| 986 | (1) The parties acknowledge and recognize that this |
| 987 | compact provides the Tribe with partial but substantial |
| 988 | exclusivity and other valuable consideration consistent with the |
| 989 | goals of the Indian Gaming Regulatory Act, including special |
| 990 | opportunities for tribal economic development through gaming |
| 991 | within the external boundaries of the state with respect to the |
| 992 | play of covered games. In consideration thereof, the Tribe |
| 993 | covenants and agrees, subject to the conditions agreed upon in |
| 994 | Part XII, to make payments to the state derived from net win as |
| 995 | set forth in subsections (2) and (7). The Tribe further agrees |
| 996 | that it will not purchase or lease any new Class II video bingo |
| 997 | terminals or their equivalents for use at its facilities after |
| 998 | the effective date of this compact. |
| 999 | (2) The Tribe shall make periodic revenue share payments |
| 1000 | to the state derived from net win as set forth in this |
| | |

PCB TGC 17-01

Page 40 of 81

Original

1001 subsection, and any such payments shall be made to the state via 1002 electronic funds transfer. Of the amounts paid by the Tribe to 1003 the state, three percent shall be distributed to local 1004 governments, including both counties and municipalities, in the 1005 state affected by the Tribe's operation of covered games. Of the 1006 remaining amounts paid by the Tribe to the state, one-third 1007 shall be allocated to K-12 teacher recruitment and retention 1008 bonuses, one-third shall be allocated to schools that serve 1009 students from persistently failing schools, and one-third shall 1010 be allocated to higher education institutions to recruit and 1011 retain distinguished faculty. If the Florida Legislature fails 1012 to allocate the amounts to the specified educational purposes in the precise manner and amounts set forth in this subsection, all 1013 1014 further payments due to the state pursuant to subsections (2) 1015 and (7) shall cease, until such time as such allocations are 1016 made, in which event the payments shall resume. Payments shall 1017 be due in accordance with the payment schedule set forth in 1018 paragraph (a). 1019 Revenue share payments by the Tribe to the state shall (a) 1020 be calculated as follows: 1. During the initial payment period, the Tribe agrees to 1021 pay the state a revenue share payment in accordance with this 1022 1023 subparagraph. 13 percent of all amounts up to \$2 billion of net win 1024 a. 1025 received by the Tribe from the operation and play of covered

PCB TGC 17-01

Page 41 of 81

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1026 games during each revenue sharing cycle; 1027 b. 17.5 percent of all amounts greater than \$2 billion up 1028 to and including \$3.5 billion of net win received by the Tribe 1029 from the operation and play of covered games during each revenue 1030 sharing cycle; 1031 c. 20 percent of all amounts greater than \$3.5 billion up to and including \$4 billion of net win received by the Tribe 1032 1033 from the operation and play of covered games during each revenue 1034 sharing cycle; 1035 d. 22.5 percent of all amounts greater than \$4 billion up 1036 to and including \$4.5 billion of net win received by the Tribe from the operation and play of covered games during each revenue 1037 1038 sharing cycle; or 1039 e. 25 percent of all amounts greater than \$4.5 billion of 1040 net win received by the Tribe from the operation and play of 1041 covered games during each revenue sharing cycle. 1042 2. During the guarantee payment period, the Tribe agrees 1043 to make fixed payments in accordance with this subparagraph. In addition, within 90 days after the end of the guarantee payment 1044 1045 period, the Tribe shall make an additional payment to the state 1046 equal to the amount above \$3 billion, if any, that would have 1047 been owed by the Tribe to the state had the percentages set 1048 forth in subparagraph 3. been applicable during the guarantee 1049 payment period. a. A payment of \$325 million during the first revenue 1050

PCB TGC 17-01

Page 42 of 81

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1051 sharing cycle; 1052 b. A payment of \$350 million during the second revenue 1053 sharing cycle; 1054 c. A payment of \$375 million during the third revenue 1055 sharing cycle; 1056 d. A payment of \$425 million during the fourth revenue 1057 sharing cycle; 1058 e. A payment of \$475 million during the fifth revenue 1059 sharing cycle; 1060 f. A payment of \$500 million during the sixth revenue 1061 sharing cycle; and 1062 q. A payment of \$550 million during the seventh revenue 1063 sharing cycle. 1064 3. During the regular payment period, the Tribe agrees to 1065 pay a revenue share payment, for each revenue sharing cycle, to 1066 the state equal to the amount calculated in accordance with this 1067 subparagraph. 1068 a. 13 percent of all amounts up to \$2 billion of net win 1069 received by the Tribe from the operation and play of covered 1070 games during each revenue sharing cycle; b. 17.5 percent of all amounts greater than \$2 billion up 1071 1072 to and including \$3.5 billion of net win received by the Tribe 1073 from the operation and play of covered games during each revenue 1074 sharing cycle; 1075 c. 20 percent of all amounts greater than \$3.5 billion up

PCB TGC 17-01

Page 43 of 81

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Original

2017

| 1076 | to and including \$4 billion of net win received by the Tribe |
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| 1077 | from the operation and play of covered games during each revenue |
| 1078 | sharing cycle; |
| 1079 | d. 22.5 percent of all amounts greater than \$4 billion up |
| 1080 | to and including \$4.5 billion of net win received by the Tribe |
| 1081 | from the operation and play of covered games during each revenue |
| 1082 | sharing cycle; or |
| 1083 | e. 25 percent of all amounts greater than \$4.5 billion of |
| 1084 | net win received by the Tribe from the operation and play of |
| 1085 | covered games during each revenue sharing cycle. |
| 1086 | (3) The Tribe shall remit monthly payments as follows: |
| 1087 | (a) On or before the 15th day of the month following each |
| 1088 | month of the revenue sharing cycle, the Tribe will remit to the |
| 1089 | state or its assignee the monthly payment. For purposes of this |
| 1090 | section, the monthly payment shall be 8.3 percent of the |
| 1091 | estimated revenue share payment to be paid by the Tribe during |
| 1092 | such revenue sharing cycle. |
| 1093 | (b) The Tribe shall make available to the state at the |
| 1094 | time of the monthly payment the basis for the calculation of the |
| 1095 | payment. |
| 1096 | (c) The Tribe shall, on a monthly basis, reconcile the |
| 1097 | calculation of the estimated revenue share payment based on the |
| 1098 | Tribe's unaudited financial statements related to covered games. |
| 1099 | (4) The Tribe shall have an audit conducted as follows: |
| 1100 | (a) On or before the 45th day after the third month, sixth |
| | |

PCB TGC 17-01

Page 44 of 81

Original

1101 month, ninth month, and twelfth month of each revenue sharing 1102 cycle, provided that the 12-month period does not coincide with 1103 the Tribe's fiscal year end date as indicated in paragraph (c), 1104 the Tribe shall provide the state with an audit report by its 1105 independent auditors as to the annual revenue share calculation. 1106 (b) For each quarter within revenue sharing cycle, the 1107 Tribe shall engage its independent auditors to conduct a review 1108 of the unaudited net revenue from covered games. On or before 1109 the 120th day after the end of the Tribe's fiscal year, the 1110 Tribe shall require its independent auditors to provide an audit 1111 report with respect to net win for covered games and the related 1112 payment of the annual revenue share. 1113 (c) If the twelfth month of the revenue sharing cycle does 1114 not coincide with the Tribe's fiscal year, the Tribe shall deduct net win from covered games for any of the months outside 1115 1116 of the revenue sharing cycle and include net win from covered 1117 games for those months outside of the Tribe's audit period but 1118 within the revenue sharing cycle, before issuing the audit 1119 report. 1120 (d) No later than 30 calendar days after the day the audit 1121 report is issued, the Tribe shall remit to the state any 1122 underpayment of the annual revenue share, and the state shall 1123 either reimburse to the Tribe any overpayment of the annual 1124 revenue share or authorize the overpayment to be deducted from 1125 the next successive monthly payment or payments.

PCB TGC 17-01

Page 45 of 81

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Original

2017

| 1126 | (5) If, after any change in state law to affirmatively |
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| 1127 | allow internet or online gaming, or any functionally equivalent |
| 1128 | remote gaming system that permits a person to play from home or |
| 1129 | any other location that is remote from a casino or other |
| 1130 | commercial gaming facility, the Tribe's net win from the |
| 1131 | operation of covered games at all of its facilities combined |
| 1132 | drops more than five percent below its net win from the previous |
| 1133 | 12-month period, the Tribe shall no longer be required to make |
| 1134 | payments to the state based on the guaranteed minimum compact |
| 1135 | term payment and shall not be required to make the guaranteed |
| 1136 | minimum compact term payment. However, the Tribe shall continue |
| 1137 | to make payments based on the percentage revenue share amount. |
| 1138 | The Tribe shall resume making the guaranteed minimum compact |
| 1139 | term payment for any subsequent revenue sharing cycle in which |
| 1140 | its net win rises above the level described in this subsection. |
| 1141 | This subsection does not apply if: |
| 1142 | (a) The decline in net win is due to acts of God, war, |
| 1143 | terrorism, fires, floods, or accidents causing damage to or |
| 1144 | destruction of one or more of its facilities or property |
| 1145 | necessary to operate the facility of facilities; or |
| 1146 | (b) The Tribe offers internet or online gaming or any |
| 1147 | functionally equivalent remote gaming system that permits a |
| 1148 | person to game from home or any other location that is remote |
| 1149 | from any of the Tribe's facilities, as authorized by law. |
| 1150 | (6) The annual oversight assessment, which shall not |
| | |

PCB TGC 17-01

Page 46 of 81

Original

2017

| 1151 | avaged \$250,000 per year indexed for inflation as determined by |
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| | exceed \$250,000 per year, indexed for inflation as determined by |
| 1152 | the Consumer Price Index, shall be determined and paid in |
| 1153 | quarterly installments within 30 calendar days after receipt by |
| 1154 | the Tribe of an invoice from the state compliance agency. The |
| 1155 | Tribe reserves the right to audit the invoices on an annual |
| 1156 | basis, a copy of which will be provided to the state compliance |
| 1157 | agency, and any discrepancies found therein shall be reconciled |
| 1158 | within 45 calendar days after receipt of the audit by the state |
| 1159 | compliance agency. |
| 1160 | (7) The Tribe shall make an annual donation to the Florida |
| 1161 | Council on Compulsive Gaming as an assignee of the state in an |
| 1162 | amount not less than \$250,000 per facility. |
| 1163 | (8) In accordance with the Tribe's previous and continued |
| 1164 | conduct of Class III gaming pursuant to the previously existing |
| 1165 | compact, the Tribe shall continue to pay the state \$19.5 million |
| 1166 | on or before the 15th day of the month following each month that |
| 1167 | the Tribe conducts Class III gaming before the effective date of |
| 1168 | this compact. |
| 1169 | (9) On the effective date of this compact, any moneys |
| 1170 | remitted by the Tribe before the effective date of this compact |
| 1171 | shall be released to the state without further obligation or |
| 1172 | encumbrance. |
| 1173 | (10) Except as expressly provided in this part, nothing in |
| 1174 | this compact shall be deemed to require the Tribe to make |
| 1175 | payments of any kind to the state or any of its agencies. |
| | Dage 47 of 91 |

PCB TGC 17-01

Page 47 of 81

Original

2017

| 1176 | |
|------|---|
| 1177 | PART XII |
| 1178 | |
| 1179 | REDUCTION OF TRIBAL PAYMENTS BECAUSE OF LOSS OF EXCLUSIVITY |
| 1180 | OR OTHER CHANGES IN STATE LAWThe intent of this compact is to |
| 1181 | provide the Tribe with the right to operate covered games on an |
| 1182 | exclusive basis throughout the state, subject to the exceptions |
| 1183 | and provisions in this part. |
| 1184 | (1) For purposes of this subsection, the terms "Class III |
| 1185 | gaming" or "other casino-style gaming" include, but are not |
| 1186 | limited to, slot machines, electronically assisted bingo or |
| 1187 | electronically assisted pull-tab games, noncard table games, |
| 1188 | video lottery terminals, or any similar games, whether or not |
| 1189 | such games are determined through the use of a random number |
| 1190 | generator. |
| 1191 | (a) If, after February 1, 2017, state law is amended, |
| 1192 | implemented, or interpreted to allow the operation of Class III |
| 1193 | gaming or other casino-style gaming at any location under the |
| 1194 | jurisdiction of the state that was not in operation as of |
| 1195 | February 1, 2017, or a new form of Class III gaming or other |
| 1196 | casino-style gaming that was not in operation as of February 1, |
| 1197 | 2017, and such gaming is offered to the public as a result of |
| 1198 | the amendment, implementation, or interpretation, the Tribe, no |
| 1199 | fewer than 30 days after the commencement of such new gaming or |
| 1200 | 90 days after the state's receipt of written notice from the |
| | Dage 49 of 91 |

PCB TGC 17-01

Page 48 of 81

Original

1201 Tribe pursuant to subsection (b), whichever occurs later, may 1202 elect to begin making the affected portion of its payments due 1203 to the state pursuant to subsections (2) and (7) of Part XI, 1204 into an escrow account. 1205 (b) In order to exercise the provisions of paragraph (a), 1206 the Tribe must first notify the state, within 90 days after such amendment, implementation, or interpretation of state law, of 1207 1208 the Tribe's objections to such action or interpretation and 1209 further specify the basis for the Tribe's contention that such 1210 action or interpretation infringes upon the substantial 1211 exclusivity afforded under this compact. As part of its written 1212 notice, the Tribe must also indicate, if applicable, its 1213 intention to begin making the affected portion of its payments 1214 due to the state into an escrow account. 1215 (c) Upon receipt of written notice from the Tribe, the 1216 state may elect to: 1217 1. Invoke the dispute resolution provisions of Part XIII 1218 to determine whether the Tribe's contention is well-founded. In 1219 such proceeding, the Tribe carries the burden of proof and 1220 persuasion. The pendency of such proceeding tolls the time 1221 periods set forth in paragraph (1)(a) of Part XI for the 1222 duration of the dispute or litigation; or 2. Seek through enforcement action, legislation, or other 1223 1224 means to stop the conduct of such new games. 1225 If, within 15 months following the state's receipt (d)1.

PCB TGC 17-01

Page 49 of 81

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Original

2017

| 1226 | of written notice from the Tribe, the Tribe's contention is |
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| 1227 | deemed not to be well-founded at the conclusion of dispute |
| 1228 | resolution or new gaming is made illegal and is halted, then all |
| 1229 | funds being held in the escrow account shall be released to the |
| 1230 | state and all further payments due to the state pursuant to |
| 1231 | subsections (2) and (7) of Part XI shall promptly resume. |
| 1232 | 2. If, after 15 months following the state's receipt of |
| 1233 | written notice from the Tribe, the Tribe's contention is deemed |
| 1234 | to be well-founded at the conclusion of dispute resolution and |
| 1235 | such gaming is not made illegal and halted, then all funds being |
| 1236 | held in escrow shall be returned to the Tribe and all further |
| 1237 | payments due to the state pursuant to subsections (2) and (7) of |
| 1238 | Part XI shall cease or be reduced as provided in subsection (2) |
| 1239 | until such gaming is no longer operated, in which event the |
| 1240 | payments shall promptly resume. |
| 1241 | (2) The following are exceptions to the exclusivity |
| 1242 | provisions of subsection (1): |
| 1243 | (a) Any Class III gaming authorized by a compact between |
| 1244 | the state and any other federally recognized tribe pursuant to |
| 1245 | Indian Gaming Regulatory Act, provided that the tribe has land |
| 1246 | in federal trust in the state as of February 1, 2017. |
| 1247 | (b) The operation of slot machines, which does not include |
| 1248 | any game played with tangible playing cards, at each of the four |
| 1249 | currently operating licensed pari-mutuel facilities in Broward |
| 1250 | County and the four currently operating licensed pari-mutuel |
| | |

PCB TGC 17-01

Page 50 of 81

Original

2017

| 1251 | facilities in Miami-Dade County, whether or not currently |
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| 1252 | operating slot machines, provided that such licenses are not |
| 1253 | transferred or otherwise used to move or operate such slot |
| 1254 | machines at any other location. |
| 1255 | (c)1. If state law is amended to allow for the play of any |
| 1256 | additional type of Class III or other casino-style gaming at any |
| 1257 | of the presently operating licensed pari-mutuel facilities in |
| 1258 | Broward and Miami-Dade Counties, the Tribe may be entitled to a |
| 1259 | reduction in the revenue sharing payment as described in |
| 1260 | subparagraph 2. |
| 1261 | 2. If the Tribe's annual net win from its facilities |
| 1262 | located in Broward County for the 12 month period after the |
| 1263 | gaming specified in subparagraph 1. begins to be offered for |
| 1264 | public or private use is less than the net revenue base, the |
| 1265 | revenue share payments due to the state, pursuant to |
| 1266 | subparagraph (2)(a)2. of Part XI, for the next revenue sharing |
| 1267 | cycle and future revenue sharing cycles shall be calculated by |
| 1268 | reducing the Tribe's payment on revenue generated from its |
| 1269 | facilities in Broward County by 50 percent of that reduction in |
| 1270 | annual net win from its facilities in Broward County. This |
| 1271 | paragraph does not apply if the decline in net win is due to |
| 1272 | acts of God, war, terrorism, fires, floods, or accidents causing |
| 1273 | damage to or destruction of one or more of its facilities or |
| 1274 | property necessary to operate the facility or facilities. |
| 1275 | 3. If the Tribe's annual net win from its facilities |
| | |

PCB TGC 17-01

Page 51 of 81

Original

2017

| 1276 | located in Broward County subsequently equals or exceeds the net |
|------|--|
| 1277 | revenue base, then the Tribe's payments due to the state |
| 1278 | pursuant to subparagraph (2)(a)2. of Part XI shall again be |
| 1279 | calculated without any reduction, but may be reduced again under |
| 1280 | the provisions set forth in subparagraph 2. |
| 1281 | (d) If state law is amended to allow the play of Class III |
| 1282 | gaming or other casino-style gaming, as defined in this part, at |
| 1283 | any location in Miami-Dade County or Broward County under the |
| 1284 | jurisdiction of the state that is not presently licensed for the |
| 1285 | play of such games at such locations, other than those |
| 1286 | facilities set forth in paragraph (c) and this paragraph, and |
| 1287 | such games were not in play as of February 1, 2017, and such |
| 1288 | gaming begins to be offered for public or private use, the |
| 1289 | payments due the state pursuant to subparagraph (c)2., shall be |
| 1290 | calculated by excluding the net win from the Tribe's facilities |
| 1291 | in Broward County. |
| 1292 | (e) The operation of a combined total of not more than 350 |
| 1293 | historic racing machines, connected to a central server at that |
| 1294 | facility, and electronic bingo machines at each pari-mutuel |
| 1295 | facility licensed as of February 1, 2017, and not located in |
| 1296 | either Broward County or Miami-Dade County. |
| 1297 | (f) The operation of pari-mutuel wagering activities at |
| 1298 | pari-mutuel facilities licensed by the state, provided such |
| 1299 | facilities annually conduct a full schedule of live races or |
| 1300 | games in a manner that would comply with the Florida Statutes in |
| | Dage 52 of 91 |

PCB TGC 17-01

Page 52 of 81

Original

1301 effect as of February 1, 2017.

The operation of poker, including no-limit poker but 1302 (q) 1303 excluding any game involving a bank, at card rooms licensed by 1304 the state; provided all such card rooms are located at pari-1305 mutuel facilities that annually conduct a certain number of live 1306 performances in a manner that would comply with cardroom license 1307 renewal requirements set forth in the Florida Statutes in effect 1308 as of February 1, 2017. 1309 (h) The operation by the Department of the Lottery of those types of lottery games authorized under chapter 24 as of 1310 1311 February 1, 2017, but not including any player-activated or 1312 operated machine or device other than a lottery vending machine 1313 or any banked or banking card or table game. However, not more 1314 than ten lottery vending machines may be installed at any 1315 facility or location and no lottery vending machine that 1316 dispenses electronic instant tickets may be installed at any 1317 licensed pari-mutuel facility.

1318 (i) The operation of games authorized by chapter 849 as of
1319 February 1, 2017, which does not authorize any card game in
1320 which any person, operator, or other party serves as a bank,
1321 paying all winners and collecting from all losers.

1322 (3) To the extent that the exclusivity provisions of this
1323 part are breached or otherwise violated and the Tribe's ongoing
1324 payment obligations to the state pursuant to subsections (2) and
1325 (7) of Part XI cease, any outstanding payments that would have

PCB TGC 17-01

Page 53 of 81

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Original

2017

| 1326 | been due the state from the Tribe's facilities before the breach |
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| 1327 | or violation shall be made within 30 business days after the |
| 1328 | breach or violation. |
| 1329 | (4) The breach of this part's exclusivity provisions and |
| 1330 | the cessation of payments pursuant to subsections (2) and (7) of |
| 1331 | Part XI shall not excuse the Tribe from continuing to comply |
| 1332 | with all other provisions of this compact, including continuing |
| 1333 | to pay the state the annual oversight assessment as set forth in |
| 1334 | subsection (3) of Part XI. |
| 1335 | |
| 1336 | PART XIII |
| 1337 | |
| 1338 | DISPUTE RESOLUTIONIn the event that the Tribe or State |
| 1339 | believes that the other party has failed to comply with any |
| 1340 | requirements of this compact, or in the event of any dispute |
| 1341 | hereunder, including, but not limited to, a dispute over the |
| 1342 | proper interpretation of the terms and conditions of this |
| 1343 | compact, the goal of the parties is to resolve all disputes |
| 1344 | amicably and voluntarily whenever possible. In pursuit of this |
| 1345 | goal, the following procedures may be invoked: |
| 1346 | (1) A party asserting noncompliance or seeking an |
| 1347 | interpretation of this compact first shall serve written notice |
| 1348 | on the other party. The notice shall identify the specific |
| 1349 | compact provision alleged to have been violated or in dispute |
| 1350 | and shall specify in detail the asserting party's contention and |
| | |

PCB TGC 17-01

Page 54 of 81

Original

1351 any factual basis for the claim. Representatives of the Tribe 1352 and state shall meet within 30 calendar days after receipt of 1353 notice in an effort to resolve the dispute, unless they mutually 1354 agree to extend this period. 1355 (2) A party asserting noncompliance or seeking an 1356 interpretation of this compact under this part shall be deemed 1357 to have certified that to the best of the party's knowledge, 1358 information, and belief formed after reasonable inquiry, the 1359 claim of noncompliance or the request for interpretation of this 1360 compact is warranted and made in good faith and not for any 1361 improper purpose, such as to harass or to cause unnecessary delay or the needless incurring of the cost of resolving the 1362 1363 dispute. 1364 (3) If the parties are unable to resolve a dispute through 1365 the process specified in subsections (1) and (2), either party 1366 may call for mediation under the Commercial Mediation Procedures 1367 of the American Arbitration Association or any successor 1368 procedures, provided that such mediation does not last more than 1369 60 calendar days, unless an extension to this time limit is 1370 negotiated by the parties. Only matters arising under the terms of this compact may be available for resolution through 1371 1372 mediation. If the parties are unable to resolve a dispute 1373 through the process specified in this part, notwithstanding any 1374 other provision of law, either party may bring an action in a United States District Court having venue regarding a dispute

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PCB TGC 17-01

Page 55 of 81

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Original

2017

| 1376 | arising under this compact. If the court declines to exercise |
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| 1377 | jurisdiction, or federal precedent exists that holds that the |
| 1378 | court would not have jurisdiction over such a dispute, either |
| 1379 | party may bring the action in the appropriate court of the |
| 1380 | Seventeenth Judicial Circuit in Broward County, Florida. The |
| 1381 | parties are entitled to all rights of appeal permitted by law in |
| 1382 | the court system in which the action is brought. |
| 1383 | (4) For purposes of actions based on disputes between the |
| 1384 | state and the Tribe that arise under this compact and the |
| 1385 | enforcement of any judgment resulting from such action, the |
| 1386 | Tribe and the state each expressly waive the right to assert |
| 1387 | sovereign immunity from suit and from enforcement of any ensuing |
| 1388 | judgment, and further consent to be sued in federal or state |
| 1389 | court, including the right of appeal specified above, as the |
| 1390 | case may be, provided that: |
| 1391 | (a) The dispute is limited solely to issues arising under |
| 1392 | this compact. |
| 1393 | (b) There is no claim for monetary damages, except that |
| 1394 | payment of any money required by the terms of this compact, as |
| 1395 | well as injunctive relief or specific performance enforcing a |
| 1396 | provision of this compact requiring the payment of money to the |
| 1397 | state may be sought. |
| 1398 | (c) Nothing herein shall be construed to constitute a |
| 1399 | waiver of the sovereign immunity of the Tribe with respect to |
| 1400 | any third party that is made a party or intervenes as a party to |
| | Page 56 of 81 |
| | |

PCB TGC 17-01

Page 56 of 81

Original

2017

| 1401 | the action. In the event that intervention, joinder, or other |
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| 1402 | participation by any additional party in any action between the |
| 1403 | state and the Tribe would result in the waiver of the Tribe's |
| 1404 | sovereign immunity as to that additional party, the waiver of |
| 1405 | the Tribe may be revoked. |
| 1406 | (5) The state may not be precluded from pursuing any |
| 1407 | mediation or judicial remedy against the Tribe on the grounds |
| 1408 | that the state has failed to exhaust its Tribal administrative |
| 1409 | remedies. |
| 1410 | (6) Notwithstanding any other provision of this part, any |
| 1411 | failure of the Tribe to remit the payments pursuant to the terms |
| 1412 | of Part XI entitles the state to seek injunctive relief in |
| 1413 | federal or state court, at the state's election, to compel the |
| 1414 | payments after the dispute resolution process in subsections (1) |
| 1415 | and (2) is exhausted. |
| 1416 | |
| 1417 | PART XIV |
| 1418 | |
| 1419 | CONSTRUCTION OF COMPACT; SEVERANCE; FEDERAL APPROVAL |
| 1420 | (1) Each provision of this compact shall stand separate |
| 1421 | and independent of every other provision. In the event that a |
| 1422 | federal district court in Florida or other court of competent |
| 1423 | jurisdiction shall find any provision of this compact to be |
| 1424 | invalid, the remaining provisions shall remain in full force and |
| 1425 | effect, provided that severing the invalidated provision does |
| | |

PCB TGC 17-01

Page 57 of 81

Original

2017

| 1426 | not undermine the overall intent of the parties in entering into |
|------|--|
| 1427 | this compact. However, if subsection (6) of Part III, Part XI, |
| 1428 | or Part XII is held by a court of competent jurisdiction to be |
| 1429 | invalid, this compact will become null and void. |
| 1430 | (2) It is understood that Part XII, which provides for a |
| 1431 | cessation of the payments to the state under Part XI, does not |
| 1432 | create any duty on the state but only a remedy for the Tribe if |
| 1433 | gaming under state jurisdiction is expanded. |
| 1434 | (3) This compact is intended to meet the requirements of |
| 1435 | the Indian Gaming Regulatory Act as it reads on the effective |
| 1436 | date of this compact, and where reference is made to the Indian |
| 1437 | Gaming Regulatory Act, or to an implementing regulation thereof, |
| 1438 | the reference is deemed to have been incorporated into this |
| 1439 | document. Subsequent changes to the Indian Gaming Regulatory Act |
| 1440 | that diminish the rights of the state or Tribe may not be |
| 1441 | applied retroactively to alter the terms of this compact, except |
| 1442 | to the extent that federal law validly mandates that retroactive |
| 1443 | application without the respective consent of the state or the |
| 1444 | Tribe. In the event that a subsequent change in the Indian |
| 1445 | Gaming Regulatory Act, or to an implementing regulation thereof, |
| 1446 | mandates retroactive application without the respective consent |
| 1447 | of the state or the Tribe, the parties agree that this compact |
| 1448 | is voidable by either party if the subsequent change materially |
| 1449 | alters the provisions in the compact relating to the play of |
| 1450 | covered games, revenue sharing payments, suspension or reduction |
| | Degs 50 of 01 |

PCB TGC 17-01

Page 58 of 81

Original

| 1451 | of payments, or exclusivity. |
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| 1452 | (4) Neither the presence of language that is not included |
| 1453 | in this compact, nor the absence in this compact of language |
| 1454 | that is present in another state-tribal compact shall be a |
| 1455 | factor in construing the terms of this compact. |
| 1456 | (5) The Tribe and the state shall defend the validity of |
| 1457 | this compact. |
| 1458 | (6) The parties shall cooperate in seeking approval of |
| 1459 | this compact from the Secretary of the Department of the |
| 1460 | Interior. |
| 1461 | |
| 1462 | PART XV |
| 1463 | |
| 1464 | NOTICES.—All notices required under this compact shall be |
| 1465 | given by certified mail, return receipt requested, commercial |
| 1466 | overnight courier service, or personal delivery, to the |
| 1467 | Governor, the President of the Senate, the Speaker of the House |
| 1468 | of Representatives, and the Chairman and General Counsel of the |
| 1469 | Seminole Tribe of Florida. |
| 1470 | |
| 1471 | PART XVI |
| 1472 | |
| 1473 | EFFECTIVE DATE AND TERM.— |
| 1474 | (1) This compact, if identical to the version ratified by |
| 1475 | the Legislature in s. 285.710(3)(c), Florida Statutes, in 2017, |
| F | PCB TGC 17-01 Page 59 of 81 |

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Original

2017

| 1476 | shall become effective upon its approval as a tribal-state |
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| 1477 | compact within the meaning of the Indian Gaming Regulatory Act |
| 1478 | either by action of the Secretary of the Department of the |
| 1479 | Interior or by operation of law under 25 U.S.C. s. 2710(d)(8) |
| 1480 | upon publication of a notice of approval in the Federal Register |
| 1481 | under 25 U.S.C. s. 2710(d)(8)(D). |
| 1482 | (2) This compact shall have a term of twenty years |
| 1483 | beginning on the first day of the month following the month in |
| 1484 | which the compact becomes effective under subsection (1). |
| 1485 | (3) The Tribe's authorization to offer covered games under |
| 1486 | this compact shall automatically terminate twenty years after |
| 1487 | the effective date unless renewed by an affirmative act of the |
| 1488 | Legislature. |
| 1489 | |
| 1490 | PART XVII |
| 1491 | |
| 1492 | AMENDMENT OF COMPACT AND REFERENCES |
| 1493 | (1) Amendment of this compact may only be made by written |
| 1494 | agreement of the parties, subject to approval by the Secretary |
| 1495 | of the Department of the Interior, either by publication of the |
| 1496 | notice of approval in the Federal Register or by operation of |
| 1497 | law under 25 U.S.C. s. 2710(d)(8). |
| 1498 | (2) Legislative ratification is required for any amendment |
| 1499 | to the compact that alters the provisions relating to covered |
| 1500 | games, the amount of revenue sharing payments, suspension or |
| | |

PCB TGC 17-01

Page 60 of 81

Original

1501 reduction in payments, or exclusivity. 1502 Changes in the provisions of tribal ordinances, (3) 1503 regulations, and procedures referenced in this compact may be 1504 made by the Tribe with 30 days' advance notice to the state. If the state has an objection to any change to the tribal 1505 1506 ordinance, regulation, or procedure which is the subject of the 1507 notice on the ground that its adoption would be a violation of 1508 the Tribe's obligations under this compact, the state may invoke 1509 the dispute resolution provisions provided in Part XIII. 1510 1511 PART XVIII 1512 1513 MISCELLANEOUS.-1514 (1) Except to the extent expressly provided in this 1515 compact, this compact is not intended to, and shall not be 1516 construed to, create any right on the part of a third party to 1517 bring an action to enforce any of its terms. 1518 If, after the effective date of this compact, the (2) 1519 state enters into a compact with any other Tribe that contains 1520 more favorable terms with respect to the provisions of this 1521 Compact and the Secretary of the Department of the Interior 1522 approves such compact, either by publication of the notice of 1523 approval in the Federal Register or by operation of law under 25 1524 U.S.C. s. 2710(d)(8), upon tribal notice to the state and the 1525 Secretary, this compact shall be deemed amended to contain the

PCB TGC 17-01

Page 61 of 81

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Original

2017

1526 more favorable terms, unless the state objects to the change and 1527 can demonstrate, in a proceeding commenced under Part XIII, that 1528 the terms in question are not more favorable. 1529 Upon the occurrence of certain events beyond the (3) 1530 Tribe's control, including acts of God, war, terrorism, fires, 1531 floods, or accidents causing damage to or destruction of one or 1532 more of its facilities or property necessary to operate the 1533 facility or facilities, the Tribe's obligation to pay the 1534 guaranteed minimum compact term payment described in Part XI 1535 shall be reduced pro rata to reflect the percentage of the total 1536 net win lost to the Tribe from the impacted facility or 1537 facilities and the net win specified under subsection (2) of Part XII for purposes of determining whether the Tribe's 1538 1539 payments described in Part XI shall cease, shall be reduced pro 1540 rata to reflect the percentage of the total net win lost to the 1541 Tribe from the impacted facility or facilities. The foregoing 1542 shall not excuse any obligations of the Tribe to make payments 1543 to the state as and when required hereunder or in any related 1544 document or agreement. 1545 (4) The Tribe and the state recognize that opportunities 1546 to engage in gaming in smoke-free or reduced-smoke environments 1547 provides both health and other benefits to patrons, and the 1548 Tribe has instituted a nonsmoking section at its Seminole Hard 1549 Rock Hotel & Casino-Hollywood Facility. As part of its 1550 continuing commitment to this issue, the Tribe shall:

PCB TGC 17-01

Page 62 of 81

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V

Original

1551 Install and utilize a ventilation system at all new (a) 1552 construction at its facilities, which system exhausts tobacco 1553 smoke to the extent reasonably feasible under existing state-of-1554 the-art technology. 1555 (b) Designate a smoke-free area for slot machines at all 1556 new construction at its facilities. 1557 (c) Install nonsmoking, vented tables for table games 1558 installed in its facilities sufficient to reasonably respond to 1559 demand for such tables. 1560 (d) Designate a nonsmoking area for gaming within all of 1561 its facilities within five years after the effective date of the 1562 compact. The annual average minimum pay-out of all slot 1563 (5) 1564 machines in each facility may not be less than 85 percent. 1565 (6) Nothing in this compact shall alter any of the 1566 existing memoranda of understanding, contracts, or other 1567 agreements entered into between the Tribe and any other federal, 1568 state, or local governmental entity. 1569 The Tribe currently has, as set forth in its Employee (7) 1570 Fair Treatment and Dispute Resolution Policy, and agrees to 1571 maintain, standards that are comparable to the standards 1572 provided in federal laws and state laws forbidding employers 1573 from discrimination in connection with the employment of persons 1574 working at the facilities on the basis of race, color, religion, national origin, gender, age, disability, or marital status. 1575

PCB TGC 17-01

Page 63 of 81

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Original

2017

| 1576 | Nothing herein shall preclude the Tribe from giving preference |
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| 1577 | in employment, promotion, seniority, lay-offs, or retention to |
| 1578 | members of the Tribe and other federally recognized tribes. |
| 1579 | (8) The Tribe shall, with respect to any facility where |
| 1580 | covered games are played, adopt and comply with tribal |
| 1581 | requirements that meet the same minimum state requirements |
| 1582 | applicable to businesses in the state with respect to |
| 1583 | environmental and building standards. |
| 1584 | |
| 1585 | PART XIX |
| 1586 | |
| 1587 | EXECUTIONThe Governor of the State of Florida affirms |
| 1588 | that he has authority to act for the state in this matter and |
| 1589 | that, provided that this compact is identical to the compact |
| 1590 | ratified by the Legislature pursuant to s. 285.710(3)(c), |
| 1591 | Florida Statutes, no further action by the state or any state |
| 1592 | official is necessary for this compact to take effect upon |
| 1593 | federal approval by action of the Secretary of the Department of |
| 1594 | the Interior or by operation of law under 25 U.S.C. s. |
| 1595 | 2710(d)(8) by publication of the notice of approval in the |
| 1596 | Federal Register. The Governor affirms that he will proceed with |
| 1597 | obtaining such federal approval and take all other appropriate |
| 1598 | action to effectuate the purposes and intent of this Compact. |
| 1599 | The undersigned Chairman of the Tribal Council of the Seminole |
| 1600 | Tribe of Florida affirms that he is duly authorized and has the |
| | |

PCB TGC 17-01

Page 64 of 81

Original

1601 authority to execute this Compact on behalf of the Tribe. The 1602 Chairman also affirms that he will assist in obtaining federal 1603 approval and take all other appropriate action to effectuate the 1604 purposes and intent of this Compact. 1605 Section 2. Subsection (4) of section 285.712, Florida 1606 Statutes, is amended to read: 1607 285.712 Tribal-state gaming compacts.-1608 Upon execution receipt of an act ratifying a tribal-(4) state compact entered pursuant to s. 285.710(3)(b), the Governor 1609 1610 shall provide a copy to the Secretary of State who shall forward a copy of the executed compact and the ratifying act to the 1611 1612 United States Secretary of the Interior for his or her review and approval, in accordance with 25 U.S.C. s. 2710(d)(8) 1613 1614 2710(8)(d). 1615 Section 3. Subsections (9), (11), (13), and (14) of section 550.054, Florida Statutes, are amended to read: 1616 1617 550.054 Application for permit to conduct pari-mutuel 1618 wagering.-1619 After a permit has been granted by the division and (9) (a) 1620 has been ratified and approved by the majority of the electors 1621 participating in the election in the county designated in the 1622 permit, the division shall grant to the lawful permitholder, subject to the conditions of this chapter, a license to conduct 1623 pari-mutuel operations under this chapter, and, except as 1624 1625 provided in s. 550.5251, the division shall fix annually the

PCB TGC 17-01

Page 65 of 81

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V

Original

time, place, and number of days during which pari-mutuel 1626 operations may be conducted by the permitholder at the location 1627 1628 fixed in the permit and ratified in the election. After the 1629 first license has been issued to the holder of a ratified permit 1630 for racing in any county, all subsequent annual applications for 1631 a license by that permitholder must be accompanied by proof, in 1632 such form as the division requires, that the ratified 1633 permitholder still possesses all the qualifications prescribed 1634 by this chapter and that the permit has not been recalled at a 1635 later election held in the county.

1636 The division may revoke or suspend any permit or (b) 1637 license issued under this chapter upon a the willful violation 1638 by the permitholder or licensee of any provision of chapter 551, 1639 chapter 849, or this chapter or rules of any rule adopted 1640 pursuant to those chapters under this chapter. With the exception of the revocation of permits required in paragraphs 1641 1642 (c) and (f) In lieu of suspending or revoking a permit or 1643 license, the division, in lieu of suspending or revoking a 1644 permit or license, may impose a civil penalty against the 1645 permitholder or licensee for a violation of this chapter or 1646 rules adopted pursuant thereto any rule adopted by the division. 1647 The penalty so imposed may not exceed \$1,000 for each count or separate offense. All penalties imposed and collected must be 1648 deposited with the Chief Financial Officer to the credit of the 1649 General Revenue Fund. 1650

Page 66 of 81

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PCB TGC 17-01

V

Original

2017

| 1651 | (c)1. The division shall revoke the permit of any |
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| 1652 | permitholder that fails to make payments due pursuant to chapter |
| 1653 | 550, chapter 551, or s. 849.086 for more than 24 consecutive |
| 1654 | months unless such failure was the direct result of fire, |
| 1655 | strike, war, or other disaster or event beyond the |
| 1656 | permitholder's control. Financial hardship to the permitholder |
| 1657 | does not, in and of itself, constitute just cause for failure to |
| 1658 | make payments. |
| 1659 | 2. The division shall revoke the permit of any |
| 1660 | permitholder that has not obtained an operating license in |
| 1661 | accordance with s. 550.01215 for a period of more than 24 |
| 1662 | consecutive months after June 30, 2012. The division shall |
| 1663 | revoke the permit upon adequate notice to the permitholder. |
| 1664 | Financial hardship to the permitholder does not, in and of |
| 1665 | itself, constitute just cause for failure to operate. |
| 1666 | (d) A new permit to conduct pari-mutuel wagering may not |
| 1667 | be approved or issued after January 1, 2017. |
| 1668 | (e) A permit revoked under this subsection is void and may |
| 1669 | not be reissued. |
| 1670 | (11)(a) A permit granted under this chapter may not be |
| 1671 | transferred or assigned except upon written approval by the |
| 1672 | division pursuant to s. 550.1815 , except that the holder of any |
| 1673 | permit that has been converted to a jai alai permit may lease or |
| 1674 | build anywhere within the county in which its permit is located. |
| 1675 | (13) (a) Notwithstanding any <u>provision</u> provisions of this |
| | Page 67 of 81 |

PCB TGC 17-01

Page 67 of 81

Original

1676 chapter <u>or chapter 551</u>, <u>a pari-mutuel</u> no thoroughbred horse 1677 racing permit or license issued under this chapter <u>may not</u> shall 1678 be transferred, or reissued when such reissuance is in the 1679 nature of a transfer so as to permit or authorize a licensee to 1680 change the location of a thoroughbred horse racetrack except 1681 upon proof in such form as the division may prescribe that a 1682 referendum election has been held:

1683 1. If the proposed new location is within the same county 1684 as the already licensed location, in the county where the 1685 licensee desires to conduct the race meeting and that a majority 1686 of the electors voting on that question in such election voted 1687 in favor of the transfer of such license.

1688 2. If the proposed new location is not within the same 1689 county as the already licensed location, in the county where the 1690 licensee desires to conduct the race meeting and in the county 1691 where the licensee is already licensed to conduct the race 1692 meeting and that a majority of the electors voting on that 1693 question in each such election voted in favor of the transfer of 1694 such license.

(b) Each referendum held under the provisions of this subsection shall be held in accordance with the electoral procedures for ratification of permits, as provided in s. 550.0651. The expense of each such referendum shall be borne by the licensee requesting the transfer.

1700

(14) (a) Notwithstanding any other provision of law, a

PCB TGC 17-01

Page 68 of 81

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Original

2017

| 1701 | pari-mutuel permit, cardroom, or slot machine facility may not |
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| 1702 | be relocated, and a pari-mutuel permit may not be converted to |
| 1703 | another class of permit. Any holder of a permit to conduct jai |
| 1704 | alai may apply to the division to convert such permit to a |
| 1705 | permit to conduct greyhound racing in lieu of jai alai if: |
| 1706 | 1. Such permit is located in a county in which the |
| 1707 | division has issued only two pari-mutuel permits pursuant to |
| 1708 | this section; |
| 1709 | 2. Such permit was not previously converted from any other |
| 1710 | class of permit; and |
| 1711 | 3. The holder of the permit has not conducted jai alai |
| 1712 | games during a period of 10 years immediately preceding his or |
| 1713 | her application for conversion under this subsection. |
| 1714 | (b) The division, upon application from the holder of a |
| 1715 | jai alai permit meeting all conditions of this section, shall |
| 1716 | convert the permit and shall issue to the permitholder a permit |
| 1717 | to conduct greyhound racing. A permitholder of a permit |
| 1718 | converted under this section shall be required to apply for and |
| 1719 | conduct a full schedule of live racing each fiscal year to be |
| 1720 | eligible for any tax credit provided by this chapter. The holder |
| 1721 | of a permit converted pursuant to this subsection or any holder |
| 1722 | of a permit to conduct greyhound racing located in a county in |
| 1723 | which it is the only permit issued pursuant to this section who |
| 1724 | operates at a leased facility pursuant to s. 550.475 may move |
| 1725 | the location for which the permit has been issued to another |
| | |

PCB TGC 17-01

Page 69 of 81

Original

| 1726 | location within a 30-mile radius of the location fixed in the |
|------|---|
| 1727 | permit issued in that county, provided the move does not cross |
| 1728 | the county boundary and such location is approved under the |
| 1729 | zoning regulations of the county or municipality in which the |
| 1730 | permit is located, and upon such relocation may use the permit |
| 1731 | for the conduct of pari-mutuel wagering and the operation of a |
| 1732 | cardroom. The provisions of s. 550.6305(9)(d) and (f) shall |
| 1733 | apply to any permit converted under this subsection and shall |
| 1734 | continue to apply to any permit which was previously included |
| 1735 | under and subject to such provisions before a conversion |
| 1736 | pursuant to this section occurred. |
| 1737 | Section 4. <u>Section 550.0555, Florida Statutes, is</u> |
| 1738 | repealed. |
| 1739 | Section 5. <u>Section 550.0745, Florida Statutes, is</u> |
| 1740 | repealed. |
| 1741 | Section 6. Subsection (3) of section 550.09512, Florida |
| 1742 | Statutes, is amended to read: |
| 1743 | 550.09512 Harness horse taxes; abandoned interest in a |
| 1744 | permit for nonpayment of taxes |
| 1745 | (3) (a) The <u>division shall revoke the</u> permit of a harness |
| 1746 | horse <u>racing</u> permitholder who does not pay tax on handle for |
| 1747 | live harness horse performances for a full schedule of live |
| 1748 | races <u>for more than 24 consecutive months</u> during any 2 |
| 1749 | consecutive state fiscal years shall be void and shall escheat |
| 1750 | to and become the property of the state unless such failure to |
| | Page 70 of 81 |

PCB TGC 17-01

Page 70 of 81

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operate and pay tax on handle was the direct result of fire, strike, war, or other disaster or event beyond the ability of the permitholder to control. Financial hardship to the permitholder <u>does</u> shall not, in and of itself, constitute just cause for failure to operate and pay tax on handle. <u>A permit</u> revoked under this subsection is void and may not be reissued.

1757 (b) In order to maximize the tax revenues to the state, 1758 the division shall reissue an escheated harness horse permit to 1759 a qualified applicant pursuant to the provisions of this chapter 1760 as for the issuance of an initial permit. However, the 1761 provisions of this chapter relating to referendum requirements 1762 for a pari-mutuel permit shall not apply to the reissuance of an 1763 escheated harness horse permit. As specified in the application 1764 and upon approval by the division of an application for the 1765 permit, the new permitholder shall be authorized to operate a harness horse facility anywhere in the same county in which the 1766 1767 escheated permit was authorized to be operated, notwithstanding 1768 the provisions of s. 550.054(2) relating to mileage limitations.

1769Section 7.Subsections (3) and (7) of section 550.09515,1770Florida Statutes, are amended to read:

1771550.09515Thoroughbred horse taxes; abandoned interest in1772a permit for nonpayment of taxes.-

1773 (3) (a) The division shall revoke the permit of a
1774 thoroughbred racing horse permitholder that who does not pay tax
1775 on handle for live thoroughbred horse performances for a full

PCB TGC 17-01

Page 71 of 81

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1776 schedule of live races for more than 24 consecutive months 1777 during any 2 consecutive state fiscal years shall be void and 1778 shall escheat to and become the property of the state unless 1779 such failure to operate and pay tax on handle was the direct 1780 result of fire, strike, war, or other disaster or event beyond 1781 the ability of the permitholder to control. Financial hardship 1782 to the permitholder does shall not, in and of itself, constitute 1783 just cause for failure to operate and pay tax on handle. A 1784 permit revoked under this subsection is void and may not be 1785 reissued.

1786 (b) In order to maximize the tax revenues to the state, 1787 the division shall reissue an escheated thoroughbred horse 1788 permit to a qualified applicant pursuant to the provisions of 1789 this chapter as for the issuance of an initial permit. However, 1790 the provisions of this chapter relating to referendum 1791 requirements for a pari-mutuel permit shall not apply to the 1792 reissuance of an escheated thoroughbred horse permit. As 1793 specified in the application and upon approval by the division 1794 of an application for the permit, the new permitholder shall be 1795 authorized to operate a thoroughbred horse facility anywhere 1796 the same county in which the escheated permit was authorized to 1797 be operated, notwithstanding the provisions of s. 550.054(2) relating to mileage limitations. 1798 1799 (7)If a thoroughbred permitholder fails to operate all

1800

Page 72 of 81

performances on its 2001-2002 license, failure to pay tax on

PCB TGC 17-01

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1801 handle for a full schedule of live races for those performances 1802 in the 2001-2002 fiscal year does not constitute failure to pay 1803 taxes on handle for a full schedule of live races in a fiscal 1804 year for the purposes of subsection (3). This subsection may not 1805 be construed as forgiving a thoroughbred permitholder from 1806 paying taxes on performances conducted at its facility pursuant 1807 to its 2001-2002 license other than for failure to operate all 1808 performances on its 2001-2002 license. This subsection expires July 1, 2003. 1809

1810 Section 8. Section 550.3345, Florida Statutes, is amended 1811 to read:

1812 550.3345 Conversion of quarter horse permit to a Limited 1813 thoroughbred racing permit.—

1814 (1)In recognition of the important and long-standing 1815 economic contribution of the thoroughbred horse breeding industry to this state and the state's vested interest in 1816 1817 promoting the continued viability of this agricultural activity, 1818 the state intends to provide a limited opportunity for the 1819 conduct of live thoroughbred horse racing with the net revenues 1820 from such racing dedicated to the enhancement of thoroughbred 1821 purses and breeders', stallion, and special racing awards under 1822 this chapter; the general promotion of the thoroughbred horse breeding industry; and the care in this state of thoroughbred 1823 horses retired from racing. 1824

1825

(2) A limited thoroughbred racing permit previously

PCB TGC 17-01

Page 73 of 81

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Original

1826 converted from Notwithstanding any other provision of law, the holder of a quarter horse racing permit pursuant to chapter 1827 1828 2010-29, Laws of Florida, issued under s. 550.334 may only be 1829 held by, within 1 year after the effective date of this section, 1830 apply to the division for a transfer of the quarter horse racing 1831 permit to a not-for-profit corporation formed under state law to 1832 serve the purposes of the state as provided in subsection (1). 1833 The board of directors of the not-for-profit corporation must be composed comprised of 11 members, 4 of whom shall be designated 1834 1835 by the applicant, 4 of whom shall be designated by the Florida Thoroughbred Breeders' Association, and 3 of whom shall be 1836 1837 designated by the other 8 directors, with at least 1 of these 3 1838 members being an authorized representative of another 1839 thoroughbred racing permitholder in this state. A limited 1840 thoroughbred racing The not-for-profit corporation shall submit an application to the division for review and approval of the 1841 1842 transfer in accordance with s. 550.054. Upon approval of the 1843 transfer by the division, and notwithstanding any other 1844 provision of law to the contrary, the not-for-profit corporation 1845 may, within 1 year after its receipt of the permit, request that 1846 the division convert the quarter horse racing permit to a permit 1847 authorizing the holder to conduct pari-mutuel wagering meets of 1848 thoroughbred racing. Neither the transfer of the quarter horse racing permit nor its conversion to a limited thoroughbred 1849 1850 permit shall be subject to the mileage limitation or the

PCB TGC 17-01

Page 74 of 81

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1851 ratification election as set forth under s. 550.054(2) or s. 1852 550.0651. Upon receipt of the request for such conversion, the division shall timely issue a converted permit. The converted 1854 permit and the not-for-profit corporation <u>are</u> shall be subject 1855 to the following requirements:

1856 All net revenues derived by the not-for-profit (a) 1857 corporation under the thoroughbred horse racing permit, after 1858 the funding of operating expenses and capital improvements, 1859 shall be dedicated to the enhancement of thoroughbred purses and breeders', stallion, and special racing awards under this 1860 chapter; the general promotion of the thoroughbred horse 1861 1862 breeding industry; and the care in this state of thoroughbred 1863 horses retired from racing.

(b) From December 1 through April 30, no live thoroughbred racing may not be conducted under the permit on any day during which another thoroughbred racing permitholder is conducting live thoroughbred racing within 125 air miles of the not-forprofit corporation's pari-mutuel facility unless the other thoroughbred racing permitholder gives its written consent.

(c) After the conversion of the quarter horse racing permit and the issuance of its initial license to conduct parimutuel wagering meets of thoroughbred racing, the not-for-profit corporation shall annually apply to the division for a license pursuant to s. 550.5251.

1875

PCB TGC 17-01

(d) Racing under the permit may take place only at the

Page 75 of 81

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V

Original

1876 location for which the original quarter horse racing permit was issued, which may be leased by the not-for-profit corporation 1877 1878 for that purpose; however, the not-for-profit corporation may, 1879 without the conduct of any ratification election pursuant 1880 550.054(13) or s. 550.0651, move the location of the permit to 1881 another location in the same county provided that such 1882 relocation is approved under the zoning and land use regulations 1883 of the applicable county or municipality.

(e) <u>A limited thoroughbred racing</u> No permit <u>may not be</u>
 <u>transferred</u> converted under this section is eligible for
 transfer to another person or entity.

(3) Unless otherwise provided in this section, after
conversion, the permit and the not-for-profit corporation shall
be treated under the laws of this state as a thoroughbred racing
permit and as a thoroughbred racing permitholder, respectively,
with the exception of <u>ss. 550.054(9)(c) and s. 550.09515(3).</u>

Section 9. Subsection (4) of section 551.102, Florida Statutes, is amended to read:

(4) "Eligible facility" means any licensed pari-mutuel
facility located in Miami-Dade County or Broward County existing
at the time of adoption of s. 23, Art. X of the State
Constitution that has conducted live racing or games during
calendar years 2002 and 2003 and has been approved by a majority
of voters in a countywide referendum to have slot machines at
such facility in the respective county; or any licensed pari-

PCB TGC 17-01

Page 76 of 81

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Original

1901 mutuel facility located within a county as defined in s. 125.011, provided such facility has conducted live racing for 2 1902 1903 consecutive calendar years immediately preceding its application 1904 for a slot machine license, pays the required license fee, and 1905 meets the other requirements of this chapter; or any licensed 1906 pari-mutuel facility in any other county in which a majority of 1907 voters have approved slot machines at such facilities in a 1908 countywide referendum held pursuant to a statutory or constitutional authorization after the effective date of this 1909 1910 section in the respective county, provided such facility has conducted a full schedule of live racing for 2 consecutive 1911 1912 calendar years immediately preceding its application for a slot 1913 machine license, pays the required licensed fee, and meets the 1914 other requirements of this chapter.

1915 Section 10. Subsection (1) of section 551.104, Florida 1916 Statutes, is amended to read:

1917

551.104 License to conduct slot machine gaming.-

1918 Upon application and a finding by the division after (1)1919 investigation that the application is complete and the applicant 1920 is qualified and payment of the initial license fee, the 1921 division may issue a license to conduct slot machine gaming in 1922 the designated slot machine gaming area of the eligible facility. Once licensed, slot machine gaming may be conducted 1923 subject to the requirements of this chapter and rules adopted 1924 pursuant thereto. Notwithstanding any other provision of law, 1925

PCB TGC 17-01

Page 77 of 81

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Original

2017

1926 the division may not issue an initial license to conduct slot machine gaming after January 1, 2017, or otherwise authorize the 1927 1928 conduct of slot machine gaming at any facility or location which 1929 was not conducting slot machine gaming as of January 1, 2017. 1930 Section 11. Paragraphs (a) and (b) of subsection (2), 1931 paragraph (d) of subsection (7), subsection (12), paragraph (c) 1932 of subsection (14), and paragraph (a) of subsection (17) of 1933 section 849.086, Florida Statutes, are amended to read: 849.086 Cardrooms authorized.-1934 DEFINITIONS.-As used in this section: 1935 (2)"Authorized game" means a game or series of games of 1936 (a) 1937 traditional poker or dominoes which are played in a pari-mutuel, nonbanking manner, where all players at the table play against 1938 1939 all other players at the table and contribute to a common pot of 1940 winnings collected by the winner, and which are played in a 1941 manner consistent with the rules and requirements set forth in 1942 the 1974 edition of Hoyle's Modern Encyclopedia of Card Games. "Banking game" means a game in which the house is a 1943 (b) 1944 participant in the game, taking on players, paying winners, and 1945 collecting from losers, or a game in which any person or party 1946 serves as the cardroom establishes a bank against which 1947 participants play. CONDITIONS FOR OPERATING A CARDROOM.-1948 (7) A cardroom operator may award giveaways, jackpots, and 1949 (d) 1950 prizes to a player who holds certain combinations of cards Page 78 of 81

PCB TGC 17-01

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V

Original

2017

| 1951 | specified by the cardroom operator, provided that the award of |
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| 1952 | such giveaway, jackpot, or prize does not constitute a |
| 1953 | prohibited activity under subsection (12). |
| 1954 | (12) PROHIBITED ACTIVITIES |
| 1955 | (a) No person licensed to operate a cardroom may conduct |
| 1956 | any banking game or Any game not specifically authorized by this |
| 1957 | section is prohibited. Prohibited games include, but are not |
| 1958 | limited to: |
| 1959 | 1. Any game in which the cardroom or any other person or |
| 1960 | party serves as a bank or banker against which players play. |
| 1961 | 2. Any game in which players compete against a designated |
| 1962 | player instead of competing against all players at the table. |
| 1963 | 3. Any game in which the number of cards or ranking of |
| 1964 | hands does not conform to the rules and requirements for |
| 1965 | traditional poker as set forth in the 1974 edition of Hoyle's |
| 1966 | Modern Encyclopedia of Card Games. |
| 1967 | 4. Any other game conducted in a manner that is not |
| 1968 | consistent with the provisions of this section. |
| 1969 | (b) No person <u>Persons</u> under 18 years of age may <u>not</u> be |
| 1970 | permitted to hold a cardroom or employee license, or engage in |
| 1971 | any game conducted therein. |
| 1972 | (c) No Electronic or mechanical devices, except mechanical |
| 1973 | card shufflers, may <u>not</u> be used to conduct any authorized game |
| 1974 | in a cardroom. |
| 1975 | (d) No Cards, game components, or game implements may <u>not</u> |
| | Daga 70 of 91 |

PCB TGC 17-01

Page 79 of 81

Original

2017

1976 be used in playing an authorized game unless such has been 1977 furnished or provided to the players by the cardroom operator. 1978 (14)SUSPENSION, REVOCATION, OR DENIAL OF LICENSE; FINE.-1979 Notwithstanding any other provision of this section, (C) 1980 The division may impose an administrative fine not to exceed 1981 \$1,000 for each violation against any person who has violated or 1982 failed to comply with the provisions of this section or any 1983 rules adopted pursuant thereto. The division may revoke the 1984 license of any person who violates the provisions of subsection 1985 (12) on or after August 1, 2017.

1986

(17) CHANGE OF LOCATION; REFERENDUM.-

(a) Notwithstanding any provisions of this section, no cardroom gaming license issued under this section shall be transferred, or reissued when such reissuance is in the nature of a transfer, so as to permit or authorize a licensee to change the location of the cardroom except upon proof in such form as the division may prescribe that a referendum election has been held:

1994 1. If the proposed new location is within the same county 1995 as the already licensed location, in the county where the 1996 licensee desires to conduct cardroom gaming and that a majority 1997 of the electors voting on the question in such election voted in 1998 favor of the transfer of such license. However, the division 1999 shall transfer, without requirement of a referendum election, 2000 the cardroom license of any permitholder that relocated its

PCB TGC 17-01

Page 80 of 81

Original

2001 permit pursuant to s. 550.0555. 2002 If the proposed new location is not within the same 2. 2003 county as the already licensed location, in the county where the 2004 licensee desires to conduct cardroom gaming and that a majority 2005 of the electors voting on that question in each such election 2006 voted in favor of the transfer of such license. 2007 Section 12. All cardroom games involving designated 2008 players or a bank of any kind are illegal and prohibited under 2009 s. 849.086, Florida Statutes. Any past or future action or 2010 inaction by the Division of Pari-Mutuel Wagering considered by 2011 any party or construed by a tribunal to constitute permission 2012 from the state, either for a licensed cardroom to conduct a 2013 banking game for purposes of s. 849.086 or for a licensed 2014 cardroom to conduct a banking or banked card game for purposes 2015 of the Gaming Compact between the Seminole Tribe of Florida and 2016 the State of Florida executed pursuant to s. 285.710(3)(b), 2017 Florida Statutes, exceeds the division's delegated legislative 2018 authority, is contrary to will of the Legislature as expressed 2019 in the plain words of the Florida Statutes, and does not 2020 represent state action for purposes of the Gaming Compact 2021 executed pursuant to s. 285.710(3)(b), Florida Statutes. 2022 Section 13. This act shall take effect July 1, 2017.

PCB TGC 17-01

Page 81 of 81

CODING: Words stricken are deletions; words <u>underlined</u> are additions.